



QUARTERLY MEETING

24 July 2019

**Summons, Agenda, Minutes
and Reports**

QUESTIONS

Council Procedure Rules 2.4 and 10 set out the procedures for asking questions at the County Council Meeting.

2.4 Reports, Statements and Questions

- (a) Any reports of the Executive or committees shall, whenever possible, be printed and circulated to Members of the Council before the meetings at which they are to be considered.
- (b) The Leader of the Council may make a statement to the Council on any matters relevant to the Council and any portfolio holder (including the Leader if he/she holds a portfolio) or Chairman of any overview and scrutiny committee may make a statement to the Council on any matters relevant to that portfolio or the work of that overview and scrutiny committee. Members of the Council may, without notice, ask the Member who made the statement questions arising from matters raised in that statement or may ask any questions on matters in that portfolio not mentioned in the statement, but unless notice has been given by 10 am the previous day the person to whom the question has been put may respond in writing, with a copy being placed in Members' Group Rooms. The provisions of Council Procedure Rule 10 (b), (c), (d) and (e) shall apply to questions under this Council Procedure Rule.
- (c) No Member shall speak more than once or for more than two minutes on any statement made by the Leader or a portfolio holder or Chairman of the Scrutiny Board or of an Overview and Scrutiny Committee, except that one representative of each Group may speak for 5 minutes when responding to the statement made by the Leader. The Leader or portfolio holder or Chairman of the Scrutiny Board or of an Overview and Scrutiny Committee making the statement is not limited in the time allowed to make the statement or limited in time or frequency of speaking when responding to questions.

10. QUESTIONS BY MEMBERS

- (a) In addition to his/her right under Council Procedure Rule 2.4 to put questions or statements to the Leader or a portfolio holder or Chairman of an overview and scrutiny committee or in any report, a Member may ask the Chairman of any committee, other than an overview and scrutiny committee, any questions relating to the business of the Council.
- (b) Every question shall be put and answered without discussion, but the person to whom a question has been put may decline to answer.
- (c) With the consent of the Chairman of the Council, the Member who has asked a question may ask a supplementary question arising out of the reply. In these circumstances the speaking time will be limited to one minute.
- (d) Where the information asked for in a question is contained in any of the Council's publications, it shall be deemed a sufficient reply if the publication containing the information is indicated.
- (e) Where the reply to any question cannot conveniently be given orally, it shall be deemed a sufficient reply if the answer is supplied to the Member asking the question as soon as reasonably possible and copies shall at the same time be placed in the Members' Group Rooms.
- (f) The Chairman shall call on Members to ask questions under this Council Procedure Rule in the order of respondent set out below and, within that order, in the order in which notice of questions was given to the Assistant Chief Executive (Legal and Democratic Services) by noon the previous day. In the absence of prior notice having been given, Members must inform the Chairman of their wish to ask a question and these will be called in the order received, but after questions of which prior notice was given. Copies of all written Member questions received for a meeting of County Council will be circulated to all Members in the Council Chamber.
- (g) The time limit for questions under this Council Procedure Rule for each respondent will be 5 minutes. Once the time allocated for any respondent has expired at any meeting, the Chairman shall not permit any further questions to be asked of that respondent under this Council Procedure Rule but, if a question or response has been started it may be completed, irrespective of the time limit, as may any response to any question being asked when the time limit is reached.

Order of questions

- (i) to the Chairman of the Standards Committee;
- (ii) to the Chairman of an area committee;
- (iii) to the Chairman of any other of the Council's committees.



NORTH YORKSHIRE COUNTY COUNCIL

You are summoned to attend the Meeting of the County Council to be held at County Hall, Northallerton, on **Wednesday 24 July 2019 at 10.30 am**, at which the following business will be transacted.

Recording is allowed at County Council, committee and sub-committee meetings which are open to the public, please give due regard to the Council's protocol on audio/visual recording and photography at public meetings, a copy of which is accessible via <http://democracy.northyorks.gov.uk>. Anyone wishing to record is asked to contact, prior to the start of the meeting, the Assistant Chief Executive (Legal and Democratic Services) whose details are shown at item 4 of this Agenda. We ask that any recording is clearly visible to anyone at the meeting and that it is non-disruptive.

BUSINESS

1. To move that the **Minutes of the meeting of the County Council held on 15 May 2019** having been printed and circulated, be taken as read and be confirmed and signed by the Chairman as a correct record.

(Pages 7 to 13)

2. **Apologies for absence**

3. **Declarations of Interest**

4. **Chairman's Announcements** - Any correspondence, communication or other business brought forward by the direction of the Chairman of the Council.

5. **A Statement by the Leader of the Council**

(Pages 14 to 15)

6. **Public Questions or Statements**

Members of the public may ask questions or make statements at this meeting if they have given notice and provided the text to Barry Khan, Assistant Chief Executive (Legal and Democratic Services) – email: barry.khan@northyorks.gov.uk) or in writing to Barry Khan, Assistant Chief Executive (Legal and Democratic Services), County Hall, Northallerton DL7 8AD by **midday on Friday, 19 July 2019**. Each speaker should limit themselves to 3 minutes on any item.

If you are exercising your right to speak at this meeting, but do not wish to be recorded, please inform the Chairman who will instruct anyone who may be taking a recording to cease while you speak.

7. To consider the **report and recommendations of the Executive** and make decisions on them.
- **Quarterly Performance Report (Appendix 1) (Pages 20 to 30)**
 - **Highways Infrastructure Report (Appendix 2) (Pages 31 to 52)**
 - **Home to School Transport Policy (Appendix 3) (Late Appendix to follow)**

(Pages 16 to 52)

8. **Statements of Executive Members**, in the order set out below, **followed by the Statements of the Chairmen of the Overview and Scrutiny Committees**

Executive Members:

(Pages 53 to 73)

- (a) Executive Member for Public Health, Prevention and Supported Housing - County Councillor Caroline Dickinson
- (b) Executive Member for Open to Business - County Councillor Andrew Lee
- (c) Executive Member for Customer Engagement - County Councillor Greg White
- (d) Executive Member for Finance and Assets and Special Projects - County Councillor Gareth Dadd
- (e) Executive Member for Children's Services - County Councillor Janet Sanderson
- (f) Executive Member for Education and Skills - County Councillor Patrick Mulligan
- (g) Executive Member for Access - County Councillor Don Mackenzie
- (h) Executive Member for Stronger Communities - County Councillor David Chance
- (i) Executive Member for Health and Adult Services - County Councillor Michael Harrison

Overview and Scrutiny Committee Chairmen:

(Pages 74 to 86)

- (j) Scrutiny Board (Chairman: County Councillor Derek Bastiman).
- (k) Young People Overview and Scrutiny Committee (Chairman: County Councillor Janet Jefferson).
- (l) Care and Independence Overview and Scrutiny Committee (Chairman: County Councillor Karin Sedgwick).
- (m) Corporate and Partnerships Overview and Scrutiny Committee (Chairman: County Councillor Derek Bastiman).
- (n) Transport, Economy and Environment Overview and Scrutiny Committee (Chairman: County Councillor Stanley Lumley).
- (o) Scrutiny of Health Committee (Chairman: County Councillor John Ennis).

Members of the Council may, without notice, ask the Member who made the statement questions arising from matters raised in that statement or may ask any questions on matters in that portfolio not mentioned in the statement, but **unless notice has been given by 23 July 2019**, the person to whom the question has been put may respond in writing, and this is then circulated to all Members.

9. Notices of Motion

Motion One

Social Housing

“That this council allocates 20% of the net profit made by Brierley Homes to a fund specifically to support the provision of social housing.”

Proposed by County Councillor Geoff Webber
Seconded by County Councillor Bryn Griffiths

Motion Two

Coastal Erosion Resilience and Mitigation - National Coastal Erosion Resilience Review

“That the Government ensures that where their assets and infrastructure will be protected by a flood and coastal protection scheme all Utility companies are required to make a proportionate and appropriate mandatory level of financial contribution towards that particular scheme, and subject to the costs of any contribution not being borne by the Utilities customers.”

Proposed by County Councillor Derek Bastiman
Seconded by County Councillor David Jeffels

Motion Three

Carbon Reduction

“This Council recognises that some districts within North Yorkshire have already passed motions committing to carbon reduction measures with the aim of becoming carbon neutral. It also acknowledges and supports the Government’s announcement that it would aim for the UK to be carbon neutral by 2050.

North Yorkshire County Council has already formed an Executive sub-group and Scrutiny task Group concentrating on climate change and the Authority has made progress in reducing carbon emissions.

This Council now commits to produce its own carbon reduction plan. As part of this plan, the Council will invite the relevant representatives from the district councils to discuss how working together, we can aspire to achieve net carbon neutrality by, or as close to, 2030.

Any actions or changes in policy will be fully costed, both financially and in service delivery terms, in the interests of ensuring an ambitious but achievable plan.

The plan will be reviewed annually and a carbon reduction report will be presented to full council annually by the Leader of the Council or an executive member nominated by him to champion carbon reduction.

Proposed by: County Councillor Richard Cooper

Seconded by: County Councillor David Chance

10. Council Procedure Rule 10 Questions

RICHARD FLINTON
Chief Executive Officer

BARRY KHAN,
Assistant Chief Executive
(Legal and Democratic Services)

County Hall,
NORTHALLERTON.

16 July 2019

North Yorkshire County Council

County Council

Minutes of the meeting of the County Council held at County Hall, Northallerton on 15 May 2019 commencing at 10.30 am.

PRESENT:-

County Councillor Robert Windass in the Chair.

County Councillors Val Arnold, Karl Arthur, Margaret Atkinson, Andrew Backhouse, Bob Baker, Philip Barrett, Derek Bastiman, John Blackie, David Blades, Philip Broadbank, Eric Broadbent, Michael Chambers MBE, David Chance, Jim Clark, Liz Colling, Richard Cooper, Gareth Dadd, Caroline Dickinson, Stephanie Duckett, Keane Duncan, John Ennis, David Goode, Caroline Goodrick, Bryn Griffiths, Michael Harrison, Paul Haslam, Robert Heseltine, Mel Hobson, David Jeffels, Janet Jefferson, Mike Jordan, Andrew Lee, Carl Les, Stanley Lumley, Don Mackenzie, John Mann, Stuart Martin MBE, Zoe Metcalfe, Heather Moorhouse, Patrick Mulligan, Richard Musgrave, Andy Paraskos, Caroline Patmore, Chris Pearson, Clive Pearson, Joe Plant, Gillian Quinn, Janet Sanderson, Karin Sedgwick, Andy Solloway, Peter Sowray, Helen Swiers, Roberta Swiers, Angus Thompson, Cliff Trotter, Callam Walsh, Geoff Webber, John Weighell OBE, Richard Welch, Greg White, and Annabel Wilkinson.

APOLOGIES:-

County Councillors: Lindsay Burr MBE, Helen Grant, David Ireton, Andrew Jenkinson, Cliff Lunn, Don Mackay, John McCartney, Tony Randerson and Stuart Parsons.

ATTENDING:-

Honorary Aldermen: Bernard Bateman, John Fort BEM, Michael Knaggs, John Marshall and Chris Metcalfe.

Members of the press and the public.

83. Election of Chairman

It was moved and seconded that County Councillor Jim Clark be elected as Chairman of the County Council to serve until the Annual Meeting of the County Council in 2020.

The vote was taken and, on a show of hands, the motion was declared carried, with none against and no abstentions.

Resolved -

That County Councillor Jim Clark is elected as Chairman of the County Council, to serve until the Annual Meeting of the County Council in 2020.

County Councillor Jim Clark then made his declaration of acceptance of the office of Chairman of the County Council.

County Councillor Jim Clark in the Chair

The Chairman thanked the Council for his election and it was then moved by him and seconded:

“That this Council places on record its appreciation of the exceptional service rendered to it by County Councillor Robert Windass as Vice-Chairman of the County Council from 2017 to 2018 and as Chairman of the County Council from 2018 to 2019 and also places on record its thanks to his consorts for their unfailing support given during his term of office.”

Leaders of other political groups spoke in support.

The vote was taken and, on a show of hands, the motion was declared carried with none against, and no abstentions.

Resolved -

That this Council places on record its appreciation of the exceptional service rendered to it by County Councillor Robert Windass as Vice-Chairman of the County Council from 2017 to 2018 and as Chairman of the County Council from 2018 to 2019 and also places on record its thanks to his consorts for their unfailing support given during his term of office.

The Chairman presented a past Chairman's badge to County Councillor Robert Windass.

County Councillor Robert Windass responded expressing his thanks to all those who had supported him during his time in office.

84. Apologies for absence

Apologies for absence were received and noted.

85. Minutes

It was moved and seconded that the Minutes of the meeting of the County Council held on Wednesday 20 February 2019, having been printed and circulated, are confirmed and signed by the Chairman as a correct record.

The vote was taken and, on a show of hands, the motion was declared carried with none against and no abstentions.

Resolved -

That the Minutes of the meeting of the County Council held on Wednesday 20 February 2019, having been printed and circulated, are confirmed and signed by the Chairman as a correct record.

86. Appointment of Vice-Chairman

It was moved and seconded that County Councillor Robert Heseltine be appointed as Vice-Chairman of the County Council, to serve until the Annual Meeting of the County Council in 2020.

The vote was taken and, on a show of hands, the motion was declared carried, with 46 in favour, 10 against and 5 abstentions.

County Councillor John Blackie requested that his vote in against the motion be recorded.

Resolved -

That County Councillor Robert Heseltine is appointed as Vice-Chairman of the County Council, to serve until the Annual Meeting of the County Council in 2020.

County Councillor Robert Heseltine then made his declaration of acceptance of the office of Vice-Chairman of the County Council and thanked the Council for his appointment.

87. Chairman's Announcements

The Chairman welcomed the Honorary Aldermen who were in the public gallery. The Chairman acknowledged the death of former County Councillor Pam Odell. Pam represented Sherburn from 1985 until 1992. A minutes silence was held.

The Chairman also reminded Members of the usual arrangements for the meeting.

Members were reminded that works on the Brierley Building will take place from August this year. During this time, there will be no access to the building. Council meetings will take place in dedicated meeting rooms in the former Magistrates Court and Racecourse Lane. The only exception to this is the meeting of County Council, which will be held at Hambleton District Council in November 2019, February 2020 and May 2020. The July meeting of County Council will be held in the Council Chamber in the Brierley Building, as normal.

88. Declarations of Interest

It was noted that County Councillor Michael Harrison has a family member who works for the County Council.

89. Statement by the Leader

County Councillor Carl Les made a statement, under Contract Procedure Rule 2.3, as Leader of the County Council, a summary of the key points of which had previously been circulated and which appear in the Minute Book (pages 11174 to 11175).

90. Public Questions or Statements

There were no questions or statements from members of the public.

91. Report of the Executive

Appointments to Committees and Other Bodies

The appointments to committees and other bodies at paragraph 3.2 of the report (which appear in the Minute Book page 11178) were noted.

In addition Group Secretaries were asked for any nominations for appointments to committees and other bodies. County Councillors Caroline Patmore and John Blackie notified Council of proposed changes to membership of committees and other bodies, as follows:

- County Councillor John Ennis to Chair Scrutiny of Health (vacating Chair of Care and Independence)
- County Councillor Karin Sedgwick to Chair Care and Independence (vacating Older People's Champion role)
- County Councillor Andy Paraskos to become Older People's Champion (vacating Transport for the North)
- County Councillor Paul Haslam to become the representative on Transport for the North
- County Councillor John Blackie to take the place of County Councillor Stuart Parsons on the North York Moors National Park Authority.

It was moved and seconded that the proposed changes to committee membership be approved.

The vote was taken and, on a show of hands, the motion was declared carried, with none against and no abstentions.

Resolved -

- (a) That Andrew Pippin's, Yorkshire Ambulance Service Sector Commander for North and East Yorkshire, appointment as the representative of the emergency services on the North Yorkshire Health and Wellbeing Board and Lesley Butterworth's, Yorkshire Ambulance Service Group Station Manager, appointment as substitute both be noted.
- (b) That County Councillor Gillian Quinn's appointment to the Airedale Hospital Trust's Governing Body be noted.
- (c) That the proposed changes to committee membership be approved.

Proposed Changes to the Constitution

The recommendation at paragraph 3.3 of the report (which appears in the Minute Book at page 11178) was moved and seconded.

The vote was taken and, on a show of hands, the motion was declared carried, with none against and no abstentions.

Resolved -

- (a) That the proposed approach to the level of recording of executive and non-executive decisions by officers, and the proposed amendments to the Access to Information Procedure Rules as set out in Appendices 1 and 2 of the report, be approved and be reviewed after two years' implementation.

- (b) That the proposed changes to the Constitution as set out in Appendix 3 to the report be approved.

Proposed Changes to the Contract Procedure Rules and Key Decision Exemptions

The recommendation at paragraph 3.4 of the report (which appears in the Minute Book at page 11178) was moved and seconded.

The vote was taken and, on a show of hands, the motion was declared carried, with none against and no abstentions.

Resolved -

That the recommendations and changes to the Contract Procedure Rules and Key Decision exemptions as set out in the Audit Committee report be approved.

Pension Board Membership

The recommendation at paragraph 3.5 of the report (which appears in the Minute Book at page 11179) was moved and seconded.

The vote was taken and, on a show of hands, the motion was declared carried, with none against and no abstentions.

Resolved -

That the Independent Chair, and Members appointed to the Pension Board in July 2015, be given a temporary continuation to their terms of office, until January 2020.

92. Response by the Corporate and Partnerships Overview and Scrutiny Committee to the Notice of Motion to County Council on 14 November 2018 on North Yorkshire County Council's Current Level of Compliance with the Charter against Modern Slavery

The recommendation on page 11280 of the Minute Book was moved and seconded.

The vote was taken and, on a show of hands, the motion was declared carried, with none against and no abstentions.

Resolved -

- (a) That the actions outlined in paragraph 4.2a be completed to achieve full compliance be agreed.
- (b) Having achieved full compliance, the additional actions outlined in paragraph 4.2b be explored further and reported back to the Corporate and Partnerships Overview and Scrutiny Committee.

93. Overview and Scrutiny Annual Report

The Overview and Scrutiny Annual Report was considered (pages 11288 to 11295 of the Minute Book).

Resolved -

That the Annual Report be noted.

94. Statements of Executive Members and Chairmen of Overview and Scrutiny Committees

County Councillor Michael Harrison, Executive Member for Health and Adult Services, made a statement, a summary of the key points of which had previously been circulated and which appears in the Minute Book (pages 11296 to 11297), and responded to questions.

County Councillor Caroline Dickinson, Executive Member for Public Health, Prevention and Supported Housing, made a statement, a summary of the key points of which had previously been circulated and which appears in the Minute Book (pages 11298 to 11300).

County Councillor Andrew Lee, Executive Member for Open to Business, made a statement, a summary of the key points of which had previously been circulated and which appears in the Minute Book (pages 11302 to 11303), and responded to questions.

County Councillor Greg White, Executive Member for Library, Customer and Community Services, made a statement, a summary of the key points of which had previously been circulated and which appears in the Minute Book (pages 11304 to 11306).

County Councillor Gareth Dadd, Executive Member for Finance and Assets and Special Projects, made a statement, a summary of the key points of which had previously been circulated and which appears in the Minute Book (pages 11308 to 11309).

County Councillor Janet Sanderson, Executive Member for Children's Services, made a statement, a summary of the key points of which had previously been circulated and which appears in the Minute Book (pages 11310 to 11311).

County Councillor Patrick Mulligan, Executive Member for Education and Skills, made a statement, a summary of the key points of which had previously been circulated and which appears in the Minute Book (pages 11312 to 11313).

County Councillor Don Mackenzie, Executive Member for Access, made a statement, a summary of the key points of which had previously been circulated and which appears in the Minute Book (pages 11314 to 11315), and responded to questions.

County Councillor David Chance, Executive Member for Stronger Communities, made a statement, a summary of the key points of which had previously been circulated and which appears in the Minute Book (pages 11316 to 11319), and responded to questions.

The written statements of the Chairmen of the Scrutiny Board and the Overview and Scrutiny Committees having previously been circulated, and which appear in the Minute Book (pages 11320 to 11337) were noted.

County Councillor Jim Clark, Chairman of the Scrutiny of Health Committee, responded to questions.

95. Council Procedure Rule 10 Questions

There were no Council Procedure Rule 10 questions.

The meeting closed at 12.20pm.

DRAFT

County Council Meeting**Wednesday 24 July 2019****Leader`s statement****Climate change**

I am pleased that Scrutiny Chairs have responded positively to my invitation to start work on this most important subject. I have asked 3 Executive members to participate, David Chance, Patrick Mulligan and Greg White, and I understand that the first joint meeting is after this Council meeting today. We must set ambitious yet realistic goals for our organisation to work towards the national target of zero carbon by 2050, and it is my intention to report progress at each Quarterly Outturn meeting of the Executive, and each Council meeting.

We will share discussions with other councils through the County Council Network, our own Districts and Boroughs, and also City of York.

Conservative leadership election

As this will also provide the next Prime Minister it is of interest to all of us. Individually and collectively through the CCN and LGA I have tried to establish the candidates` position on various subjects that affect us. It is encouraging that both have replied positively about the role of local government, and indicated that more investment must be made in areas we have been championing for some time, namely Adult Social Care, schools funding, and SEND, together with commitments made to improve the A 64. At the time of writing this we can only wait to see who is elected, and then what early steps they take to deal with matters other than Brexit, which has consumed so much of government time in recent months.

It will be interesting to see what Ministerial appointments are made. For the most of the last administration, all North Yorkshire MPs had government positions and I would record my thanks to all of them for ensuring our concerns were raised with Ministers and officials, but especially Julian Smith as Chief Whip and Rishi Sunak as Local Government Minister.

District Council elections

Together with the Chief Executive I have been meeting with all existing and new Leaders of District and Borough Councils, and City of York, to establish shared and other priorities. I hope we will continue with a positive engagement for the benefit of the residents we serve, and in most cases share.

Office moves

This is the last time we will meet in this Council Chamber for some months. I am grateful to Hambleton District Council for allowing us to use their Council Chamber for the next meeting, once again pro bono. Following that meeting I will review the arrangements with Group Leaders, and any feedback you want to give us would be useful.

Members' and some officers' working arrangements will be transferred to the Courthouse building , and 1 Racecourse Lane. Whilst there will be some disruption caused by this I hope we can accept these interim arrangements for the short time they will be necessary.

There has been a suggestion made to me that the July meeting could be moved to mid September, and again I will discuss this with Group Leaders before opening it up to wider discussion.

Carl Les

July 10th, 2019

North Yorkshire County Council
24 July 2019
The Report of the Executive

1.0 Purpose of the Report

- 1.1** To provide County Council with details of reports received, decisions made and recommendations to County Council by the Executive.

2.0 Details of meetings

- 2.1** The following sections provide details of the meetings of the Executive that were held on 21 May 2019, 11 June 2019 and 25 June.

2.2 21 May 2019 Executive (Performance Monitoring) meeting

The Executive met on Tuesday 21 May 2019. County Councillor Carl Les in the Chair. County Councillors David Chance, Gareth Dadd, Caroline Dickinson, Michael Harrison, Andrew Lee, Don Mackenzie, Patrick Mulligan, Janet Sanderson and Greg White were in attendance.

Also in attendance: County Councillors Paul Haslam, Derek Bastiman, Annabel Wilkinson and Andrew Paraskos.

Substantive agenda items were:

- Quarterly Performance and Budget Monitoring Report
- SEND Special Provision Capital Funding (SPCF) - Plan for use remainder of Allocated Funding
- Lowering the age range of Thornton in Craven Community Primary School to 3-11

The link to the reports and minutes from this meeting is as follows:

<http://democracy.northyorks.gov.uk/committees.aspx?commid=18&meetid=4029>

2.3 11 June 2019 Executive meeting

The Executive met on Tuesday 11 June 2019. County Councillor Carl Les in the Chair. County Councillors David Chance, Caroline Dickinson, Michael Harrison, Andrew Lee, Don Mackenzie, Patrick Mulligan, Janet Sanderson and Greg White were in attendance.

Apologies: County Councillor Gareth Dadd.

Substantive agenda items were:

- Multi Agency Safeguarding Arrangements
- Appointments to Committees and Other Bodies
- Children and Young People's Service - Schools Condition Capital Programme 2019/20 (Appendix exempt information)

The link to the reports and minutes from this meeting is as follows:

<http://democracy.northyorks.gov.uk/committees.aspx?commid=18&meetid=4030>

2.4 **25 June 2019 Executive meeting**

The Executive met on Tuesday 25 June 2019. County Councillor Carl Les in the Chair. County Councillors David Chance, Gareth Dadd, Caroline Dickinson, Michael Harrison, Andrew Lee, Don Mackenzie, Patrick Mulligan, Janet Sanderson and Greg White were in attendance.

Substantive agenda items were:

- Highways Infrastructure Asset Management Policy and Strategy
- Adoption of new Nidderdale and Forest of Bowland Area of Outstanding Natural Beauty Management Plans 2019-2024
- Scarborough Park and Ride
- NYCC Highways Major Transport Schemes Reserve List Review

The link to the reports and minutes from this meeting is as follows:

<http://democracy.northyorks.gov.uk/committees.aspx?meetid=4031>

3.0 **Recommendations by Executive for approval/information at County Council**

The following recommendations were made by Executive at the above meetings for approval and/or information at County Council:

3.1 Quarterly Performance and Budget Monitoring

This item was considered at Executive (Performance Monitoring) on 21 May 2019 where Executive were asked to approve the £933k earmarked for BES development initiatives and the proposed carry forward to 2019/20 of the net capital underspend totalling £0.6m.

The Executive RECOMMENDS:

- | | |
|-----|--|
| (a) | That the proposed carry forward of £933k earmarked for BES development initiatives as detailed in paragraphs 2.2.11 of the report be approved by County Council. |
| (b) | That the proposed carry forward to 2019/20 of the net capital underspend totalling £0.6m as set out in paragraph 4.14 of the report be approved by County Council. |

A copy of the report that went to Executive is in Appendix 1.

3.2 Highways Infrastructure Asset Management Policy and Strategy

This item was considered at Executive on 25 June 2019 where Executive were asked to approve the submission of the draft Highways Infrastructure Asset Management Policy and Strategy documents.

The Executive RECOMMENDS:

That the submission of the draft Highway Infrastructure Asset Management Policy and Strategy be approved by County Council.

A copy of the report that went to Executive is in Appendix 2.

3.3 Home to School Transport Policy

This item was considered by Executive on 16 July 2019 where Executive were asked to approve the following changes to the Home to School Transport Policy:

Proposal 1:

Mainstream transport provision will only be given to eligible children and young people attending the catchment school or the nearest school to the permanent home address

Proposal 2:

Introduce Full cost recovery for second home transport

Proposal 3:

The Local authority will collect from the curtilage of any highway or road which consists of or comprises a made-up carriageway unless a SEND, Medical or Mobility need requires a direct door to door collection

Proposal 4:

Removal of the current Rising 5 arrangement and confirm transport eligibility to commence from the September start of reception year

Proposal 5:

To adopt a single charge for all Discretionary Transport

Proposal 6:

Introduce a £20 fee for replacement school transport passes

Proposal 7:

Introduction an application process for Home to School Transport.

The Executive RECOMMENDS:

That the proposed changes to the Home to School Transport Policy be approved by County Council.

A copy of the report that went to Executive is in Appendix 3.

3.4 Appointments to Committee and Outside Bodies

The following appointments are to be noted by County Council:

North Yorkshire Health and Wellbeing Board

Chris Brackley to be appointed as the representative of Healthwatch North Yorkshire.

Dr. John Crompton to be appointed to the Board as the Primary Care representative, with Dr Sally Tyrer as his nominated substitute.

Mike Padgham as the representative of Care Providers, with Bev Proctor, as his nominated substitute.

Standing Advisory Council on Religious Education (SCARE)

Cllr Janet Jefferson is appointed to May 2021 to fill the vacancy that has been created by Cllr Jim Clark stepping down.

CARL LES
Chairman
County Hall
Northallerton

15 July 2019

2.0 REVENUE BUDGET 2018/19

2.1 BACKGROUND AND SUMMARY

2.1.1 This section of the report presents details of the revenue outturn for the 2018/19 financial year. The final in-year 2018/19 budget is £367,921k with the net movement since the budget approved by Executive and County Council in February 2018 shown in **Appendix A**.

2.1.2 The overall 2018/19 revenue outturn position of the County Council is a net saving of £2,492k (0.7%) against operational budgets. This compares with a projected saving at Q3 of £2,110k. Further detail is provided in **section 2.2**.

2.1.3 This underspend will be added to the Strategic Capacity – Unallocated Reserve in order to help support corporate objectives and priorities as set out in the Council Plan as well as helping to manage any future budget shortfalls.

2.1.4 2018/19 has been a challenging year with significant pressures within both Adult Social Care and Children's Services. As in previous years, the outturn position for Health and Adult Social Care has been improved by the application of the improved Better Care Fund by over £2.0m which is helping to support the pressure on the Care and Support purchasing budgets. Additional funding for Winter Pressures and High Needs have been utilised to help with demand pressures, which is detailed in Section 2.2 below. Demand pressures are forecast to continue in the future, hence the prudent action taken by the Council to build in growth within the 2019/20 budget and beyond.

2.1.5 A significant, and growing, element of the pressure on the County Council's budget has come from services for children. This includes a number of areas that are ostensibly funded through the Direct Schools Grant. However, because this funding from central government has been insufficient to meet the demands upon it, the additional costs have fallen on to the County Council. In 2018-19 the net effect of this underfunding was over £3.3m. Details of the most significant areas of pressure are shown in the table at Para. 2.2.12.

2.2 OPERATIONAL BUDGETS

2.2.1 The table below identifies the operational budgets for each of the Directorates in 2018/19. The net revenue outturn indicates a number of variances, which together total a saving of £2,492k. Issues of note include:

- Significant financial pressures in Health & Adult Services and Children & Young People's Services;
- Savings in Corporate Miscellaneous & Business and Environmental Services, which are mostly of a non-recurring nature; whilst these will offset some of the emerging pressures in Directorate budget lines in 2018/19, these will not recur in 2019/20.

Directorate	Budget (£k)	Outturn (£k)	Variance (£k)	Q3 Variance (£k)
HAS	152,659	157,313	+4,654	+3,910
LESS: iBCF deployed	0	(2,230)	(2,230)	(3,910)
Less: Winter Pressures Grant	0	(2,424)	(2,424)	0
BES	79,936	76,080	(3,855)	(1,276)
CYPS	68,677	75,776	+7,099	+9,288
Central Services	57,825	57,315	(510)	(568)
Corporate Misc.	8,824	3,598	(5,226)	(9,554)
TOTAL	367,921	365,428	(2,492)	(2,110)

2.2.2 A summary of the overall **Health and Adult Services (HAS)** position is outlined in **Appendix B**. This shows that the Directorate will use the £2.4m of Winter Pressures funding allocated by central government and £2.2m of iBCF (improved Better Care Fund) to meet Adult Social Care Pressures and achieve a balanced outturn. Our initial 2018-19 budget assumed we would require £3.7m in 2018-19 but pressures have increased in a number of areas as outlined in the appendix and **section 2.3**. These pressures include increased national demand on the health and social care system in the winter months, which led to the award of a £2.4m grant from central government late last year.

2.2.3 However, it should be noted that the winter pressures funding and iBCF is currently time-limited and whilst there is some expectation of similar funding continuing, which will continue to offset budget pressures in the future, this is not guaranteed. The Council continues to lobby for a more secure funding settlement for such pressures. The Directorate has agreed a cost reduction action plan which seeks to reduce costs in Care and Support in advance of the expectation of the ending of temporary funding.

2.2.4 The budget uses only £2m of the HAS growth contingency budget. A figure of up to £3m was agreed for this by the Council in the 2018-19 budget and therefore the remaining £1m is shown as an in-year underspend in the Corporate Miscellaneous budget. This position will be monitored closely

recognising the continuing demand and market pressures on the service in 2019-20.

2.2.5 The main cost pressures continue to fall within Care and Support budgets, with this area overspending by £6.0m, primarily on care packages. This is an increase from the position reported at Q3 by £1.0m, highlighting the significant demand-led pressures faced by this area, including some winter pressures in spite of a relatively milder winter. However, a greater and continuing source of pressure is the state of the care market, with the full costs of provider closure carrying through into Q4 (primarily in the Harrogate and Scarborough and Ryedale localities) and market-driven cost-inflation creating significant pressures, especially in the Harrogate locality.

2.2.6 Some examples of the emerging pressures are shown below:

- Additional transport costs: £184k
- Sustainability funding for care home provider: £200k
- Net cost of new cases for Older People residential and nursing in Harrogate and Craven: £641k

Overall, net residential and nursing home admissions remain constant as does the size of home care packages.

2.2.7 As highlighted in the previous report, Public Health will rely on a lower use of reserves than projected at the start of the year. The actual amount used is £1,650k compared with an initial expectation of £2,946k. This is primarily due to reduced take up of activity driven contracts with associated prescribing costs including drugs and alcohol, sexual health and tobacco control programmes and due to delays in spend due to programme timing issues.

2.2.8 **Appendix C** includes details of the projected variance within **Business and Environmental Services**. The in-year savings identified at Q3 were achieved, with further areas contributing to the in-year saving. The continued mild winter resulted in further reduced demand for salt; demand for concessionary fares has continued to decline; along with some delayed recruitment to vacancies within Integrated Passenger Transport team. The highways maintenance team have charged additional time and cost to capital works and received additional income from rechargeable works.

2.2.9 A summary of the final outturn for **Children and Young Peoples Services** is shown at **Appendix D**, an overspend position of £7.1m by the end of the 2018/19 financial year. The following areas have changed since the last quarter's report to Executive:

- **SEN Transport**
Reduction of £0.6m in overspend. Analysis of late invoices received during the year (i.e. for prior years' contracts) shows that the total amount for 2018/19 is less than anticipated; however, the underlying trend, linked to increases of children assessed as requiring Education, Health and Care Plans (EHCPs) is expected to increase in 2019/20.

- **Children & Families**
Reduction of £0.5m mainly due to higher than expected staff vacancies in the lead-in time to the Children & Families restructure in March 2019.
- **Dedicated Schools Grant (DSG)**
Provision for falling rolls and pupil growth were not required in 2018/19; coupled with a rates rebate in relation to in-year academy conversion has led to an underspend across some budget lines within the Dedicated Schools Grant. In addition, funding allocations confirmed by the January 2019 census exceeded the claimed payments to 3&4 year old providers. However, overspendings previously reported to the Executive were confirmed for school redundancies and provision for sponsored academy deficits.

2.2.10 It should be noted that the overspend position is after the government's allocation of an additional £1.2m funding for High Needs; there is no confirmation of this temporary funding beyond 2019/20 and this has been factored into the Council's Medium Term Financial Strategy.

2.2.11 **Central Services** budgets underspent by £510k, the main change from Quarter 3 is an overspend on repairs and maintenance budgets within property services offset by staff vacancies across back office services and additional income generated predominantly within registrars, further details are included in **Appendix E**.

2.2.12 Key variances were reported at Q3 and an update on these areas are outlined below:

Directorate	Service	Outturn Q4	Projection Q3
HAS	Care & Support	£6,012k	+£5,055k
BES	Highways and Transportation	(£3,625k)	(£818k)
CYPS	SEN Transport	+£2,616k	+£3,234k
CYPS	Children & Families	(£817k)	(£313k)
CYPS	Placements	+£460k	+£460k
CYPS	Disabled Children's Services	+£818k	+£825k
CYPS	School Improvement and Improvement Partnerships	(£161k)	(£266k)
CYPS	Pooled Budget	+£706k	+£650k
CYPS	Inclusion	+£322k	+£330k
	AND		
DSG	Sponsored Academies	+£582k	+£520k
DSG	School Redundancies	+£562k	+£542k
DSG	Early Years	+£183k	+£229k
DSG	High Needs Commissioning	+£3,164k	+£2,952k

The items marked as DSG are funded from the Dedicated Schools Grant but as the grant funding is not sufficient to cover these costs the shortfall will impact on the County Council budget.

2.2.13 The detailed explanations for the variances highlighted in the table above are set out in the previous 2018/19 quarterly monitoring reports. The reasons for the higher than previously forecast overspend in Care and Support are due to continuing increases in demand as noted in **section 2.1** above.

2.2.14 In addition to the saving on the Business and Environmental Services Budget, there are a number of non-recurring savings in **Corporate Miscellaneous**,

which have partially offset the financial pressures. The most significant variances are included within the table below:

	£m	£m
Inflation Provision previously estimated for HAS	(£1.4m)	-£11.1m
Adult Social Care Contingency unutilised balance	(£2.0m)	
Corporate Savings Contingency – for undelivered savings	(£2.5m)	
Waste Strategy Contingency	(£0.8m)	
Additional Government funding streams – including levy account surplus and additional grants relating to indexation of business rates	(£3.7m)	
Additional income on commercial investments and dividends	(£0.7m)	
<i>Offset by</i>		
Broadband – final year of school contract	£1.0m	+£6.1m
School Readiness Reserve	£0.3m	
Commercial Investment Depreciation Fund	£1.2m	
Investment for 2020 Projects	£0.6m	
Provision for contractual disputes	£3.0m	

2.2.15 The County Council has invested in several commercial investment opportunities during 2018/19. Commercial investments are long term in nature and as such valuations can rise as well as fall over the period of investment. As part of a prudent approach to investment, a reserve of £1.2m has been created to ensure sufficient funds are set aside to mitigate any impact should a loss on an investment be realised. This will help to smooth out the impact upon the Council's revenue account.

2.2.16 The County Council's share of the Business Rates Pool surplus for 2018/19 is £933k. It is proposed that this money is transferred to BES Development Initiatives as in previous years.

2.2.17 A provision has been made for contractual disputes of £3m. Such a provision will mean that service funding can remain intact in the event of costs falling to the Council although the Council will continue to pursue all cases where it believes it is entitled.

Details of the variances are provided in **Appendices B-F**.

2.2.18 The outturn statement for the County Council's NYES traded service is also attached at **Appendix G** for information. These services are mainly provided to schools in the County and the figures reported do not have any further impact on those in the above table because, where relevant, the charges are already incorporated in Directorate forecast budget outturn positions.

2.3 Improved Better Care Fund

2.3.1 North Yorkshire's use of the £19m iBCF grant has been revised based upon current spending plans and discussions with our partners:

	Allocation	Revised Spend
	£m	£m
2017-18	9.3	3.8
2018-19	6.9	9.3
2019-20	3.4	6.5
Total	19.6	19.6

2.3.2 The Integration and Better Care Fund Planning Requirements for 2017-19 published on Monday 3rd July 2017 confirmed that the funding is provided to Local Authorities with conditions for the purposes of:

- Meeting adult social care needs
- Reducing pressures on the NHS, including supporting more people to be discharged from hospital when they are ready; and
- Ensuring that the local social care provider market is supported.

2.3.3 The spending of this grant has taken into account:

- Market intelligence regarding pressures and capacity in the care and support markets in North Yorkshire;
- DTOCs across North Yorkshire, including work to implement the High Impact Changes for managing transfers of care;
- Underlying £6 million annual pressure on social care purchasing budgets, due to complexity of care, market premiums and challenges with sourcing care packages;
- Steer from NYCC Executive Members;
- Feedback from the North Yorkshire NHS and care provider partners; Opportunity for partners within the four A&E Boards (which operate for each of the CCG/Acute Health Trust footprints), where possible, to feed in ideas and also a further opportunity to discuss the proposed schemes and use of the money to deliver the required impact for the health system before being presented to the Health and Wellbeing Board. Accountability for this investment (as part of the s75 agreement with health partners) is through the Health and Wellbeing Board and regular updates are provided.

2.3.4 Given the non-recurring nature of the funding, it was agreed in 2017 that unless and until recurrent additional funding is made available by the Government, that the County Council limits its exposure to recurrent financial risk for these schemes to a maximum of £3.4m, which reflects the value of the final year's grant allocation.

2.4 BUDGET/ MTFS SAVINGS TARGETS

2.4.1 The 2018/19 revenue budget reflects previously agreed and updated 2020 North Yorkshire savings targets and these are incorporated into Directorate 2018/19 budget control totals shown in the table in **paragraph 2.2.1**. These 2018/19 savings targets (which are in addition to savings targets reflected in previous year's budgets) total £15,516k, and consist of:

Item	£000
North Yorkshire 2020 Savings in 2018/19 agreed in the February 2018 budget and earlier years MTFS savings targets:	
BES	1,200
CYPS	4,179
HAS	3,277
CS	6,860
Total savings reflected in 2018/19 budget	15,516

2.4.2 Assessment of progress against the targets indicates that there has been £97k early achievement across the savings programme predominantly from the procurement savings challenge.

2.4.3 There are some areas of the programme, however, where savings have not been achieved within expected timescales. Some projects have not delivered against the 2018/19 target and are directly impacting on the outturn position while for some others, where achievement has been delayed, one-off cash funding has been released, principally from reserves, in order to minimise the impact on the outturn position. These are outlined in the table below.

Cash-funding of Projects in 2018/19		
HR	£153k	
<i>Total Cash funding of projects</i>		<i>£153k</i>
Undelivered against target in 2018/19 impacting upon outturn position		
Early Years Review	£197k	
Reducing LAC	£150k	
Review of Education Psychology	£100k	
Developer's One Stop Shop	£30k	
Systems Centralisation	£22k	
Income & Debt Management	£50k	
<i>Total projects undelivered against target</i>		<i>£549k</i>
Unachieved savings relating to prior years		
Disabled Children's Service Transformation	£267k	
Strength Based Assessments	£311k	
<i>Total unrealised savings relating to prior years</i>		<i>£578k</i>
Total Projects with Delayed Achievement		£1,280k

- 2.4.4 There is a net £1,280k delayed achievement of 2020NY savings in 2018/19. Following the application of £153k cash funding for specific delayed projects, there is a £1m adverse impact on the revenue outturn position. This is set out in detail in the table below.

Item	£000
Total Projects with Early Achievement	97
Total Projects with Delayed Achievement	(1,280)
= Net position on 2020 Programme	(1,183)
Agreed one-off cash-funding of 2020 Projects Delayed Achievement (i.e. no impact on net bottom line)	153
= Net position of 2020 Programme on Q4 revenue outturn position	(1,030)

- 2.4.5 Variances from the 2018/19 Budget will continue to be monitored through 2019/20 to ensure savings are delivered as part of the governance of the 2020 North Yorkshire Programme. The net position is always reported within the quarterly Revenue Budget monitoring report and details of the variances are shown in **Appendices B to F**.
- 2.4.6 The gross position on the progress of the 2018/19 unachieved savings along with the savings included in the budget for 2019/20 and future years will continue to be monitored and delivery reported upon as failure to deliver the savings in the budget, as opposed to delays, would result in a year on year shortfall.
- 2.4.7 Many of these savings represent major changes going forward, along with the associated risk, and therefore it is imperative that delivery of each saving is closely monitored. Due to the risk of delivery of some of the savings, the Corporate Savings Contingency of up to £5.5m for non-delivery of savings continues to be included in the 2018/19 Budget. The contingency has been increased in the 2019/20 budget to £7m to reflect the increased level of risk around ability to deliver some of these savings. This will be able to be released if savings are delivered on target and could potentially contribute to the MTFS Shortfall of £14m.

2.5 GENERAL WORKING BALANCE

- 2.5.1 A key feature of the Revenue Budget for 2018/19 and Medium Term Financial Strategy, approved by County Council on 15 February 2018, is to maintain the General Working Balance (GWB) at a defined minimum acceptable level.
- 2.5.2 For 2018/19, the defined minimum level has been a policy target as follows:
- (i) Maintenance of a minimum of 2% of the net revenue budget for the GWB in order to provide for unforeseen emergencies etc. supplemented by;
 - (ii) An additional (and reviewable) cash sum of £20m to be held back in the event of a slower delivery of savings targets;

and reflects:

- (i) The increased number of risk factors which the County Council is facing as set out in Section 9 of the 2018/19 Budget report and in particular;
- (ii) Savings targets not being delivered on time;

2.5.3 Taking into account the GWB policy on minimum balances – 2% of the net revenue budget plus £20m – GWB at year-end amounts to £27,231k. This is held at “policy” level and any unallocated balance in excess of this level is transferred to ‘Strategic Capacity – Unallocated’. The underspend against operational budgets in 2018/19 of £2,492k, as outlined in **paragraphs 2.2.1 to 2.2.13**, has therefore been transferred into the Strategic Capacity – Unallocated Reserve, and will be available to assist with MTFS/ Budget and investment decisions in 2019/20 and future years. Where sums were earmarked for other specific purposes they have been transferred to specific operational reserves.

2.5.4 The movement in the balance of the Strategic Capacity – Unallocated reserve over 2018/19 is as follows:

Strategic Capacity – Unallocated	
Actual Balance as at 31.03.18	£48,716k
General Working Balances Level maintained	+£39k
Planned MTFS contribution <u>from</u> reserve	(£6,280k)
Potholes – match funding (approved July 18)	(£3,000k)
Transfer Business Rates Pool Surplus 2017/18 (approved June 18)	(£1,003k)
Nidderdale Children’s Resource Centre (approved July 18)	(£203k)
Tour De Yorkshire (approved September 18)	(£380k)
Kexgill Repairs (approved October 18)	(£700k)
Other	+£72k
Net underspend	+£2,492k
Balance as at 31.03.19	£39,753k

2.5.5 The balance of the Strategic Capacity – Unallocated Reserve as at 31 March 2018 was £48.7m. The following table provides a longer-term forecast for this reserve.

Year End		Latest Forecast			
	Start Year	MTFS	Other base budget contributions	Approved use of reserve	End-Year
	£000	£000	£000	£000	£000
31 March 2019	48,716	(6,280)		(2,683)	39,753
31 March 2020	39,753	(5,335)		-	34,418
31 March 2021	34,418	(9,750)		-	24,668
31 March 2022	28,668	(14,003)			10,665

2.5.6 The table at 2.5.5 includes the projected impact of the budget shortfall identified in the February 2019 MTFS. These projections, which show that the Council will have a recurring savings gap of £14.0m, assume that further 'new' savings are not identified and is therefore very much a 'worst case scenario'. Measures are in progress to address the shortfall – which in some cases include using funds for investment in infrastructure, invest to save for service transformation or to generate a revenue saving.

2.6 Recommendation

That the Executive

- (i) notes the latest position for the County Council's 2018/19 Revenue Budget as summarised in **paragraph 2.1.2**
- (ii) endorses the proposals for the use of the additional Adult Social Care funding (**paragraphs 2.3.1 to 2.3.4**) and note that the proposals seek to limit exposure to recurrent financial risk for these schemes to a maximum of £3.4m, which reflects the value of the final year's grant allocation.
- (iii) notes the position on the GWB (**paragraphs 2.5.1 to 2.5.3**)
- (iv) note the position on 'Strategic Capacity – Unallocated' reserve (**paragraphs 2.5.4 to 2.5.6**)
- (v) recommend to the County Council the proposed carry forward of £933k earmarked for BES development initiatives detailed in **paragraph 2.2.16**

North Yorkshire County Council

Executive

25 June 2019

Highways Infrastructure Asset Management Policy and Strategy

Report of the Corporate Director – Business and Environmental Services

1.0 Purpose of the report

- | | |
|-----|--|
| 1.1 | The purpose of this report is to seek members' recommendation that the County Council approves the draft updates to the Highways Infrastructure Asset Management Policy and Strategy documents. |
| 1.2 | Subject to 1.1 above, to schedule an item for the County Council meeting on 24 July 2019 determining the approval of the draft updates to the Highway Infrastructure Asset Management Policy and Strategy documents. |
| 1.3 | Since both documents are related to the same subject matter albeit at a different level, it was considered that they can be reviewed at the same time. |

2.0 Background

- 2.1 These two documents relate to a Department for Transport (DfT) initiative that recommends the use of asset management principles as a way of managing the highway network. Dating from 2013, the recommendations are that the highway network is seen as a set of asset and asset groups each having a set of associated attributes (such as asset type, condition, cost et. al.) and is managed accordingly. Such an approach is accepted at national and local government level as a more efficient and effective approach to managing a highways infrastructure.
- 2.1.1 The two documents for review are a policy and strategy response to the challenge set down by DfT on the use of asset management principles. Appendix A
- 2.1.2 Since both documents are related to the same subject matter albeit at a different level, it was considered that they can be reviewed at the same time
- 2.2 The Highways Infrastructure Asset Management Policy describes how North Yorkshire County Council's highways service will use an asset management based approach to deliver key portions of the Council Plan and the most recent Local Transport Plan.
- 2.3 This document is an update to a previous version that was adopted by County Council in June 2015. The changes reflect the following influences during the intervening period.
- The most recent version of the Council Plan - 2018 was the version referenced in the updated policy.
 - The updated version of the Council's Local Transport Plan - version 4 was considered within the updated policy
 - Additional advice and guidance from the Department for Transport published on the subject of highways delivery including asset management.

- Experience and knowledge gained within the highways service during the intervening period.
- 2.4 The updated policy demonstrates a commitment to a well-managed integrated network, efficient service delivery with a strong customer / stakeholder focus and performance management.
- 2.5 The highways infrastructure asset management strategy describes how North Yorkshire County Council's highways service's approach to deliver the highways infrastructure asset management policy. Whereas the policy is a short high level document describing how asset management will assist the highways service in delivering the council's strategic aims, the strategy contains more depth about how this will happen.
- 2.6 The updated strategy follows the guidance set out in the DfT sponsored highways infrastructure asset management documentation and describes how an asset management framework helps to deliver an efficient and effective approach to a long term highways service and supports better communication with customers and stakeholders.
- 2.7 The strategy is now more closely tied to the guidance than previously and also reflects the experience gained by the highways service over the period since its previous incarnation.
- 2.8 Based on input from a number of highways areas, the strategy now serves as guide to best practice within asset management and a long term set of aims for the service in that area. It concentrates on three areas
- context – describes the context for highway infrastructure asset management, the organisation and the environment within which the local highway service is delivered;
 - planning – describes the key activities and processes for asset management planning and gives advice on how these should be applied to highway infrastructure assets; and
 - enablers – describe the enablers that support the implementation of the asset management framework
- 2.9 The adoption of both the asset management policy and strategy is fully in line with the Department for Transport's guidance forming a key component of its Highway Maintenance Efficiency Programme.
- 2.10 Both the policy and the strategy have already been reviewed by the following groups with amendments made where recommended.
- 14th October 2018 – Highways and Transportation Heads of Service
 - 22nd February 2019 – Corporate Director for Business and Environmental Services in consultation with BES Executive Members
 - 17 April 2019 – Transport Economy and Environment Overview and Scrutiny Committee
- 2.11 It is proposed that the updated policy and strategy, subject to the Executive's recommendation, will then follow the timetable below
- 24th July 2019 - County Council
- 2.12 Ultimately being adopted at the last meeting.

3.0 Key Implications

3.1 Financial

Demonstrating a commitment to an asset management framework is a key component in the Department for Transport Highway Management Efficiency Programme. In particular the existence of an up to date high level asset management policy alongside a strategy describing how the policy will be delivered is essential. Related to this, the Highway Management Efficiency Programme self-service questionnaire has a question on both a policy and a strategy. Failing to meet the requirements of this question would mean the loss of a significant amount of funding which for the 2019/2020 financial year would have amounted to £4.8m.

3.2 Legal

The County Council, as highway authority, has a statutory duty to maintain the highway under Section 41 of the Highways Act 1980 and the development and updating of both the policy and strategy supports the Council in fulfilling its duty. Both the policy and strategy documents have been reviewed by Legal and Democratic Services and amendments were made where recommended.

3.3 Equalities

Consideration has been given to the potential for any adverse equality impacts arising from the recommendation. It is the view of officers that the recommendation does not have an adverse impact on any of the protected characteristics identified in the Equalities Act 2010. A copy of the Initial Equality Impact Assessment Screening Form that was presented to the BES Executive members and Transport Economy and Environment Overview and Scrutiny Committee is attached at Appendix B.

4.0 Recommendations

4.1 It is recommended that the Executive:

- i) approve that the draft Highway Infrastructure Asset Management Policy and Strategy documents should be submitted to the County Council meeting on 24th July 2019.
- ii) advises the County Council to approve the two said documents.

DAVID BOWE

Corporate Director – Business and Environmental Services

Author of Report: Stephen Lilgert

Senior Strategy and Performance officer – Strategic Resources

Background Documents: None

North Yorkshire County Council

Highways Infrastructure Asset Management Policy

We want North Yorkshire to be a thriving county which adapts to a changing world and remains a special place for everyone to live, work and visit

- North Yorkshire County Council vision statement

...how the transport services and infrastructure provided by the County Council and partners aim to contribute towards our shared Vision and the NYCC Council Plan priorities

- Excerpt from the North Yorkshire Local Transport Plan 2016-2045

The North Yorkshire Highways and Transportation service recognises the importance that an effective transport network plays in delivering the overall council vision. It underpins the delivery of council services, enables residents and visitors to access both work and leisure destinations along with essential services such as health, social care and education and provides a key supporting role in the council's economic growth ambitions.

The Department of Transport's Highways Maintenance Efficiency Programme recommends that a highways authority adopt an asset management approach to the delivery of the highways service. The principles of the programme are investment in highway maintenance to demonstrate value for money over the life of the asset and to meet increasing public demands and expectations.

As part of our policies and procedures we already have an asset management framework in place. Supported by this framework, we will, through continuous improvement, ensure that the highways service meets the current and future challenges that North Yorkshire faces and delivers the key highways priorities of the council's vision.

Developing a modern integrated transport network

A modern, integrated transport network is essential if North Yorkshire is to be a place with a strong economy and a commitment to sustainable growth. An asset management framework supports this through an understanding not only of individual asset performance but of how they interact to produce a whole network infrastructure.

Our asset management approach will track the performance of both assets and asset groups giving us a wider view of the highway network. This view will allow us to optimise the delivery of our highway services to better fit the long term vision of the council. The aim is to provide a strategic, sustainable and safe network which enables our citizens to fulfil their ambitions and aspirations.

Efficient delivery of services

In times of financial pressures, it is essential that we deliver our highways services in an efficient and cost effective manner. An asset management framework is widely accepted as

a means to delivering an efficient highways service through long term planning of asset maintenance. This ensures that a highways service is delivered within achievable budgets.

We will continue to drive the use of asset management to keep the delivery of the highways management service as efficient as possible. Key to this is an emphasis on the implementation and monitoring of our long term planned maintenance programme and a robust risk based approach to maintaining the transport network.

A council that puts the customer at the heart of services

The highways network impacts the lives of residents and visitors alike both directly and indirectly. Ensuring that we meet the expectations of our customers every day is a key part of the highways service. The information based approach of an asset management framework lends itself to a more open and two-way conversation with customers.

The highways service will continue to work alongside its partners to deliver a network fit to support the needs of our customers. Through our asset management system we will continue to improve access to information about the way we plan, fund and deliver the service and implement efficient methods of reporting and tracking issues.

Analyse our performance

It is the council's approach to analyse our performance, use this to become better at what we do, and share with our stakeholders how we are doing. The data and information available from an asset management framework can be used to measure, benchmark and ultimately identify areas for continuous improvement in the delivery of the service.

The highways service has a mature and robust performance management framework with significant reference to highways asset information. We will continue to use and improve this at a strategic and operational level to monitor the performance of the service against the key council policies and statutory requirements. Records of the performance of our highways assets will continue to be available to all stakeholders.

Manage, maintain and improve

The overall aim of the highways service fully supports the key vision within the council plan. Implementation of this aim ultimately delivers a safe, well managed and maintained highway infrastructure to North Yorkshire meeting the legal and statutory requirements placed upon us.

We will continue to implement our asset management framework as a way of ensuring that the delivery of the service is subject to challenge and continuous improvement; and is fit for the future needs of the county.

The highways infrastructure asset management policy sits at the head of the asset management framework. The delivery of the framework will be through the strategy and the individual asset management plans and will adhere to industry guidance on asset management and the new well managed highway infrastructure code of practice.

North Yorkshire County Council

Highways Infrastructure Asset Management Strategy

Introduction

Overview

Asset management has been widely accepted by central and local government as a means to deliver a more efficient and effective approach to management of highway infrastructure assets through longer term planning, ensuring that standards are defined and achievable for available budgets. It also supports making the case for funding and better communication with stakeholders, facilitating a greater understanding of the contribution highway infrastructure assets make to economic growth and the needs of local communities.

At over 9000km in length, North Yorkshire has one of the longest road networks of any highways authority in the country. The current annual maintenance budget (for both capital and revenue) is over £60m and as such it is vital to ensure that the Council is achieving value for money and delivering the best possible outcomes for all of the users of the highway network.

For a number of years North Yorkshire Highways and Transportation (H&T) has used asset management as a way of delivering its services. This includes long term works programming, a risk based approach to planned and reactive maintenance and strong stakeholder engagement. In 2015 this approach was coalesced within a framework headed by a newly created asset management policy and strategy.

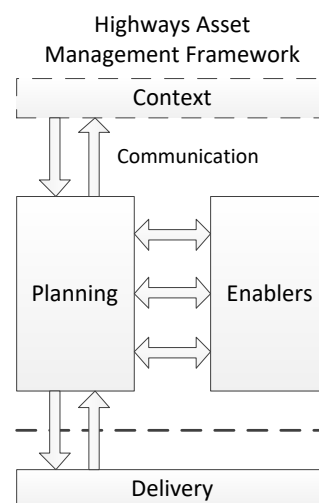
This document represents a revision of the strategy to reflect the following:

- updated strategic council documents in particular the latest Council Plan and a revised Local Transport Plan;
- an updated Highways Infrastructure Asset Management Policy;
- updated guidance from Department for Transport, specifically the Well-managed Highway Infrastructure – a Code of Practice (2016) developed by the UK Roads Liaison Group;
- continuing external challenges; and
- knowledge gained from continuous improvement within the highway service.

North Yorkshire Highways Asset Management Framework

North Yorkshire County Council's Highways and Transportation service (H&T) has been implementing asset management in the highways maintenance service for a number of years, through an asset management framework. The Framework represents North Yorkshire's approach to asset based highways maintenance; agreed by senior decision makers and its principles recorded through a series of related documents.

The Framework follows the guidelines set down by the UKLRG, commissioned by DfT as part of Highways Maintenance Efficiency Programme. It is tailored to the needs of the council. See the diagram to the right for an overview of the Framework and the text below which describes the three main parts of the Framework:



- context – describes the context for highway infrastructure asset management, the organisation and the environment within which the local highway service is delivered;
- planning – describes the key activities and processes for asset management planning and gives advice on how these should be applied to highway infrastructure assets; and
- enablers – describe the enablers that support the implementation of the Asset Management Framework.

The remainder of this document will detail how the asset management framework assists in the delivery of the overall highways service.

Our Context for Highways Infrastructure Asset Management

Council Plan

The most recent Council Plan sets out the council's vision for North Yorkshire.

“We want North Yorkshire to be a thriving county which adapts to a changing world and remains a special place for everyone to live, work, and visit”

The plan identifies key areas which the highways service will contribute to the delivery of this vision.

Local Transport Plan

North Yorkshire's local transport plan takes the council's vision as set out in the Council Plan and details a long term approach to how we will deliver on the transportation elements.

Below is an excerpt from the latest LTP.

...how the transport services and infrastructure provided by the County Council and partners aim to contribute towards our shared Vision and the NYCC Council Plan priorities

Developing a modern integrated transport network

In order to meet the current and future needs of the users of North Yorkshire's highways, our asset management approach ensures that the different asset groups work as one to provide a seamless delivery of the service.

The important deliverable is the creation of the works programme, built from the various asset data available. This allows us to provide a stable, long term view of the network and how we will manage the network. Such a long term approach means that we can manage our funding and be certain of how our network will look in the future. This provides a stable transport infrastructure backed up by a robust asset management approach as documented in the framework supporting the millions of users win North Yorkshire and beyond.

This delivery extends beyond our boundaries as we work closely with our neighbours to ensure that there is a level of consistency of stakeholder experience when crossing a border.

Efficient delivery of services

Our use of lifecycle planning will allow us to better plan where our resources are spent – at the point when the greatest value for money is gained. Within challenging financial times, the ability to plan maintenance over a three to five year period provides a level of funding stability.

Using the asset based deterioration models we will be able to predict the condition of the network over time ensuring any problems can be foreseen and appropriate, and cost effective treatments can be applied. Our significant use of surface dressing is seen as a

major factor in maintaining the network in as good a condition as possible within budgetary constraints.

A council that puts the customer at the heart of services

We will strive to improve access to information for all our stakeholders allowing customers to report and track issues that have occurred within the service. Our asset management system provides the basis for this information working in parallel with our existing customer relationship management infrastructure. We will continue to exploit and improve these links to enhance both the customer experience and our understanding of the performance of our assets.

Analyse our performance

Key to ensuring that we are delivering on the three previous vision statements is the ability for us to measure how we are performing. With a wealth of asset data available via the asset management systems, a dedicated performance team works with the highways service around the service plans and dashboards. This performance management includes benchmarking of asset performance against other authorities

Real-time performance monitoring of suitable assets is the next goal to allow senior leaders within the service up to date access to asset performance.

Manage, maintain and improve

This hierarchical statement describes the priorities by which we provide the highways service.

- Manage the current network
- Maintain those network assets that we have
- Improve the network where justifiable

At the heart of this overall highways commitment is the asset management framework. The ability to efficiently manage, maintain and improve can only be based on a solid knowledge of our assets, where they are, what their condition is and what are the historic events that have occurred to them.

This information serves a double purpose:

- creation of maintenance targets for each of the asset group which are set based, in most cases, on the historic data created through inspections and deterioration models. These targets coupled with up to date condition information put in place a gap which is the starting point in the lifecycle approach to asset improvements. The programme of works is based upon this gap; and
- monitoring the performance against these targets through the regular cycle of inspections in addition to ad-hoc observations as time progresses. The feedback from the delivered works programme ensures that asset data are kept up to date. This performance loop allows senior management to ensure that the targets are correctly set and allow for modifications as circumstances allow such as increased or decreased funding.

Legislation and Statutory Requirements

A number of legal and statutory requirements are placed upon a highways authority to ensure a safe network. The Highways Act 1980 sets out the main duties in England and Wales. In particular, Section 41 of The Act imposes a duty upon the highways authority to maintain highways maintainable at public expense. Adherence to this and the other legislation is the highest priority within the highways service and the ability to establish a

robust Section 58 defence plays a significant part in the setting the various service levels of our assets.

A strong understanding of how our network assets are performing both in the present and historically allows us anticipate future performance which ensures that the network overall continues to meet these statutory requirements. Our asset management framework serves as a key part of this understanding and achieves this by the following:

- a long term, centralised approach to overall asset management ensures a consistent approach to maintenance across the service;
- the use of individual asset data to monitor condition information ensuring that required works are completed only to those assets that require treatment; and
- a robust risk management based approach to highways asset maintenance based on guidance documentation alongside our own experience of asset performance.

These approaches are regularly reviewed and updated where necessary to comply with new legislation, to implement guidance or where our internal review processes recommend changes.

Code of Practice

In October 2016, the UK Roads Liaison Group produced the document, “Well-managed Highway Infrastructure: a Code of Practice” which promotes the adoption of an integrated asset management approach to highway infrastructure based on the establishment of local levels of service through risk-based assessment. This expanded on 2013 ‘Highways Infrastructure asset Management’ guidance document, also from the UK Roads Liaison Group reinforcing the benefits of an asset management approach in addition to additional recommendations on the management on asset groups.

Highways Maintenance Efficiency Programme

Both these documents, in addition to a number of asset specific publications, sit within the broader Highway Maintenance Efficiency Programme which exists to provide guidance and support for a more effective and efficient approach to the management of the highway infrastructure through the use of asset management.

Stakeholder Expectations

Managing stakeholder expectations and addressing their needs is a key aspect of asset management. There are many diverse individuals and groups that use the highway infrastructure however they all expect a highway that is in good condition, safe, congestion free, reliable which supports their daily activities. An effective highway infrastructure is also vital to the economic prosperity of the county.

Our use of an asset management framework allows us to have an understanding of asset condition allowing us to ensure that, within the budgetary constraints, we are delivering the highest quality highways Levels of Service to our customers. From the regular monitoring of assets such as bridges and footway condition, we are constantly updating asset information and use this information for the long term planning of our works programme. Such an effective use of asset information allows us to meet out stakeholders expectations.

The reality is that sustainably maintaining our network at a perfect condition is cost prohibitive given current funding constraints. However, we have in place transparent customer information to enable people to understand the decisions that we make within the financial constraints.

Access to asset information for members of the public is vital in ensuring that we are seen as a responsive organisation. By means of web based reporting we are increasingly providing our stakeholders with a two way experience with progress information on a fault is updated. As such we will ensure that:

- layperson's information is available that provides details on the choices that we make when maintaining an asset e.g. carriageway treatments;
- all of the asset management documentation are available on the website from the Policy through to the individual asset management plans; and
- our quarterly performance figures are part of the general pack of information that is available through the council's governance structure.

This information alongside direct support assists our members as they manage their interaction with their constituents at a local level.

This approach to stakeholder engagement is supported by the highways infrastructure asset management communications plan.

Funding

Funding Targets

The use of an asset management framework is widely recognised as a way of delivering a more efficient highways service. It also supports the creation of business cases for required additional investment. However, to maintain all assets in a perfect condition at all times would be cost prohibitive, therefore funding targets need to be set on the basis of the Levels of Service set by Council.

Our asset management framework allows the highways service to make the best use of both the revenue and capital funding received from numerous sources. Key to reducing the more expensive reactive works is the effective use of the planned pieces of work contained within the capital programme. Increasing the accuracy of future investment allocations is key to maintaining agreed levels of service as well as demonstrating value for money.

The asset management framework supports this approach in the following ways:

- the current and long term view of our asset condition data will underpin the creation of our long term programme of works across all our assets based on Levels of Service;
- how asset management supports the various funding constraints and methods of acquiring funding;
- using asset information as part of a wider strategy to justify existing and to lobby for additional funding; and
- demonstrating value for money and the effective use of our current funds

Funding Sources

Listed below are high level data around where Highways and Transportation obtain its funding. The percentage splits are from the 18/19 financial year and may fluctuate year on year depending on funding conditions. It does give a broad outline of where funding comes from.

Revenue funding for the highways service has two broad areas of funding

Funding source	2018/19
Internally source funding including council tax and business rates	85%
Centrally allocated funds e.g. the revenue support grant	15%

Sources of capital funding for the highways service are largely split into four broad areas

Funding source	2018/19
The central local transport capital block funding including the LTP grant, and incentive fund	67%
Local growth funding allocated via the Local Enterprise Partnership	11.6%
One off additional centralised funding including for example National Productivity Investment fund and Pothole Action Fund	17.6%
Internally sourced funding – including matching one-off additional central funding	3.8%

From an asset management point of view it is clear that prevention is better than cure from a highways perspective and so our long term aim is to increase the benefit gained from the capital programme to reduce our revenue requirements.

Our Planned Approach to Asset Management

Asset Management Documents Hierarchy

The overall asset management framework consists of a number of related documents that provide an overall the basis for highways asset management within the council:

- **policy:** the asset management policy takes the key deliverables from the overall council plan and gives an overview on how the highways asset management framework will assist in delivery of the objectives. The short high level document, which is approved at county council sets out the principles that are expanded upon within the asset management strategy;
- **strategy:** the asset management strategy itself (this document) sits between the overall policy and the individual asset management plans. and sets out the way in which North Yorkshire County Council will manage its Highway Infrastructure Assets in such a way as to deliver the Policy; and
- **plans:** the various asset management plans provide further detail and where required reference specific documents around how the different asset types apply the principles of asset management in order to deliver an effective 'whole of life' service. The asset management plans represent the "on the ground" description of the technical management of the assets and may include commentary on the following:
 - Service provision
 - Strategic Levels of Service
 - Specific service levels and response times
 - Asset inspection and maintenance schedules
 - Works Programming
 - Intervention matrices
 - Design guidance

Supporting the overall framework are a set of overarching documentation plans that include communication and information management plans.

Our Highways Infrastructure Assets

Key asset groups	Key figures	Key asset data
Carriageways	8525 km carriageway	Location, condition, inspection schedule
Street lighting	50400 street lights	location, condition
Structures	1645 bridges	Location, inspection schedule bridge condition index
Traffic signals	327 traffic signal installations	
Drainage	c.157,000 gullies	Emptying schedule
footways	8000k	condition, inspection schedules

Asset Data

Data sets

Asset data sets are the building block of any successful asset management framework. Without a robust and accurate set of data then the ability to deliver an efficient service that meets the needs of its stakeholders.

These sets are used in a number of ways including the following.

- Creation of works programmes
- Making asset information available to our stakeholders including our customers and members
- Forming a significant base for the operational performance management frameworks
- Availability of information to satisfy our statutory data requirements.
- Benchmarking our service
- Contractual measures when working alongside our partners

All Highways asset information data are collected, stored and processed in accordance with the recently implemented General Data Protection Regulation (GDPR) as it applies in the UK, tailored by the Data Protection Act 2018.

Asset management data within the Council is documented by the Highways Asset Information Management Plan which is included in the suite of framework documentation.

Data collection

The acquisition of asset data is dependent on the specific asset in question however is based on one or more of the following methods:

- automated methods such as SCANNER or SCRIM
- regular continual inspection schedules of the network such as Coarse Visual Inspection or Detailed Visual Inspection;
- regular individual asset monitoring as with structures;
- reports from scheduled maintenance visits such as with drainage assets;
- 'as built' data for new and renewed assets;
- issues reported by our stakeholders such as incident calls from a member of the public; and
- one off pieces of work to augment asset information.

The technology with regards to the collection of data is constantly evolving. As emerging and new technologies become available we will look to utilise them in order to improve the efficiency of collection and the quality of the data from the field.

Data management

Highways and Transportation's asset management system is the main repository for asset information although this is augmented by specific systems dedicated to some asset types.

Asset data, specifically condition data, is central to the creation of the capital programme being the initial dataset placed through our lifecycle process.

Management of the asset data is the responsibility of all of the individual teams within the asset life cycle whose role it is to ensure integrity of the data by constantly updating the information according to their asset management plans. This ensures that, when being applied through the lifecycle process to create the works programmes, the information upon which funding decisions is an accurate reflection of the asset condition.

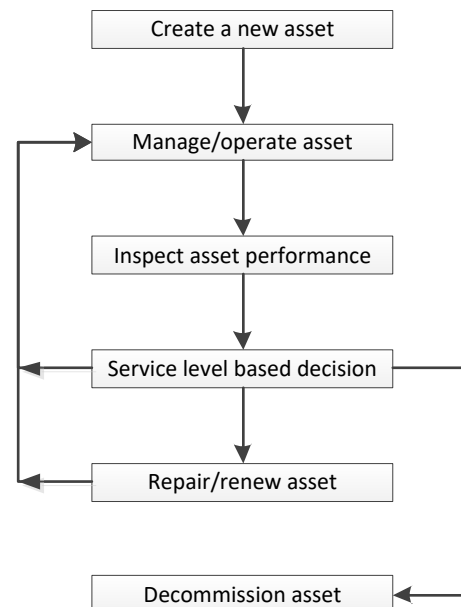
Asset Lifecycle Approach

The Life of an Asset

Highways and Transportation take a holistic approach to sustainable and whole life cost asset management. As an asset owning organisation we have accountability for all aspects of this cycle, even when it chooses to outsource various activities within the cycle.

The benefits to this longer holistic approach to asset management include the following:

- delivering better outcomes through continuous improvement, lessons learned and value for money – stretching the budgets;
- the ability to predict how investment affects the service levels;
- creating a resilient and sustainable long term approach to highway maintenance whilst remaining agile 'on the ground'; and
- improved and clearer communications with stakeholders with regard expectations and targets.



Levels of Service

Highways and Transportation manage their services levels using a risk based approach to ensure that the network remains as safe as possible within the financial constraints of the council.

Every asset within the network is subject to deterioration over its lifetime. The key to managing this deterioration is to set service levels for those assets although practically, this is done to groups of similar assets. Looking at the lifecycle diagram above, part of the process involves a decision point where the performance of an asset is compared against the agreed service levels for that asset type. The decision on whether to perform some action on the asset depends on the comparison.

There are four outcomes of the decision process:

- the asset is beneath the service level parameters and therefore has no action taken;
- the asset is decommissioned;
- the asset meets or exceeds the levels for reactive maintenance which is carried out within documented timescales; and
- the asset meets or exceeds the levels for planned maintenance and is added to the process of the long term programming. Note that this does not preclude any reactive maintenance occurring.

The service levels for the various asset management groups are included as part of their associated asset management plans along with supplementary documentation such as the Highways Safety Inspection Manual.

Effective Works Programming

The delivery of the works programme is the tangible outcome of the asset management planning process. The programming and delivery of works should align with the asset management strategy and meet the performance targets.

The most effective use of the funding available to the highways service is dependent on having a long term strategic view of the highway infrastructure. Our approach to lifecycle planning allows us this view and it is this which provides the building blocks of works programming or as it is known in North Yorkshire, the capital programme.

The process for the creation of the various prioritised asset programmes depends upon the specific asset class but will take into account the following criteria:

- asset condition information from the various surveys across the asset management spectrum although in some cases, the asset information will be as a result of a safety inspection – such as with bridges or Vehicle Restraint Systems;
- the location of the asset specifically the frequency of its usage or in the case of carriageways its category;
- local knowledge which can be gleaned from a general appreciation of the area or feedback from stakeholders; and
- other specific asset information such as its contribution to portions of the highways infrastructure asset management policy e.g. key to the growth of a particular area.

The resulting programme generated covers a three year period with an additional two years indicative. Such a long term approach allows not only for a level of certainty around funding but the ability to react to situations including:

- additional or reduced funding;
- flexibility around scheduling; and
- issues with resource availability.

Key facets of the capital programme are:

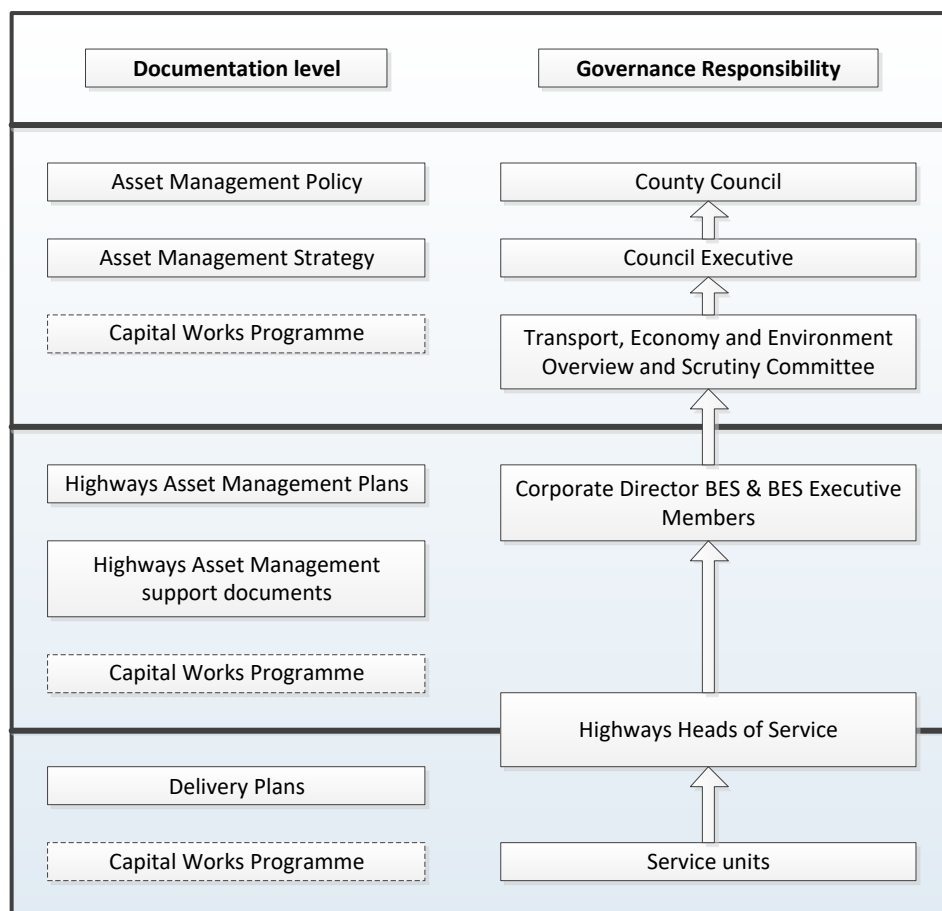
- Frontloading the schemes to ensure that budgetary control is consistent and minimising a Q4 rush to spend budget; and
- Look to join schemes by types and/or geography where possible to provide the following benefits:
 - minimising the disruption by carrying out work on one visit
 - provide economies of scale either through the term provider or through framework contracts

Our Enablers to a Successful Asset Management System

Governance

Overall governance

A governance structure has been put in place to enable both effective and appropriate scrutiny of the asset management framework. The diagram below shows the levels.



Policy and strategy

Policy and Strategic documentation are signed off at county council level after a process that includes the council's Executive members, the Transport, Economy and Environment Overview & Scrutiny Committee and the highways senior team. Additionally, and not shown on the diagram for brevity, the Corporate management board are consulted. This ensures that there is consistency in the asset management framework at a strategic level with council's overall vision.

Asset management plans and support documents

Overall governance of the asset management plans and support documentation rests with the Corporate Director of Business and Environmental Services BES in consultation with the Executive Member for Highways and Transportation. This devolved approach is made possible due to the hierarchical way in which the framework operates. This devolvement allows for non-policy/non-strategic decisions on specific asset groups to be taken in a more agile fashion

Operational delivery documentation

Operational management of the assets within the framework plans is generally governed by operational teams with support from internal Highways and Transportation teams and our

maintenance and professional services partners. Where necessary the highways heads of service provide sign off.

The capital works programme

The overall capital works programme is signed off at county council as part of the Capital Plan process.. From that point onwards governance is devolved to one of three decision bodies depending on the requirements:

- The detailed capital programme and any subsequent additions and deletions from the programme require approval from the Corporate Director BES in consultation with the BES Executive Members, provided that these approvals stay within the limits set within the Capital Plan;
- Significant variations to schemes already within the programme are approved by the highways heads of service; and
- Other variations to schemes already within the programme are managed within the highways teams.

Performance Management

As part of the overall asset management framework, the performance management is described in two separate areas within this strategy:

- strategic performance management measures the overall delivery of the service and relies on the service plans and single scores; and
- operational measures look at combinations of assets and groups of assets and how they perform and are described in a separate section of this document. These are described below:

Strategic Performance Management

The strategic performance management of the asset management framework is primarily through the use of service planning that sets levels of service supported by performance targets and measures.

Quarterly monitoring of these service plans are in place providing visibility of the service performance to senior highways managers and reported through the corporate process to other stakeholders including the Council's management board and members. Ultimately the reports are published for anyone to view if desired.

This is a cyclical process where service levels and targets are reviewed as part of a process of continuous improvement.

Operational Performance Management

Asset information forms a key part of the operational performance monitoring within the highways service and this management. The data within the asset management systems serves as the source for a variety of performance related outputs. These reports form the basis for a number of key measurements within the highways service:

- feeding into the lifecycle process – the performance of the assets over time is used as part of the whole lifecycle process that ultimately leads to the creation of the long term programme of works. This process is cyclical embedding a level of continuous improvement into the service;
- dashboards and other performance management work such as monitoring the effectiveness of the various investment strategies;
- statutory returns to central bodies and other services – The condition indicators for asset groups form the basis for our statutory returns; and
- contractor and staff performance management.

Performance management of the capital works programme sits in both the strategic and operational areas with the operational financial information used to highlight issues with specific schemes.

Asset Management Systems

Asset data are of little value without the means to store, access and update the information. In order to support the key deliverables of the service, asset information needs to:

- be easily maintained for accuracy;
- provide the basis for informed decision making;
- facilitate communications with stakeholders;
- support the management of statutory requirements; and
- support continuous improvement.

The primary location for North Yorkshire's highways service asset information is a single monolithic commercial off the shelf product from Symology. GIS based, it provides the starting point for both current and historic asset information.

As an offsite managed system the technical management is through a third party however a dedicated team of asset engineers provide the operational service. Data information contained within the system includes the following;

- asset information;
- location details;
- current and historic condition information;
- works orders;
- third party claims;
- inspection schedules;
- defects and associated maintenance; and
- customer requests and associated responses – the customer module with automated links into the council's central customer relationship management product.

In order to facilitate a smoother path for customer access to asset information a number of the asset groups' data are available to the general public for the purpose of fault reporting. Map based, this allow our customers to report faults with certain asset groups through a portal based interface. The interface works two ways to provide automatic updates on call progress.

We recognise that there are occasions where a one-size-fits-all is not achievable and so outside of the primary asset management system, there are other asset groups that have requirements that need a dedicated system. Asset groups such as structures and traffic signals have their own dedicated systems through which they perform exactly the same processes.

We continually work alongside our system provider to look to augment the functionality of the asset management system to enable a greater centralised approach and an increased effectiveness of the system.

The Highways Asset Information Management Plan describes the high level requirements for the management of the assets. The asset management system is, in addition, the core of the operational performance management function.

Risk Management

The Council has a corporate risk management approach which includes dedicated management of the high level risks for each of the service areas across the organisation. Within Highways and Transportation these high level risks are managed within the service areas reporting regularly through the corporate process.

Our approach to risk management is influenced in the main by our statutory requirement as set out in various legislation. Leading on from this is the importance of being able to provide a robust section 58 defence against third party claims and the significant documentation around our risk-based approach to highways maintenance is proof of our commitment.

Risk based approach to Asset Management

The discipline and process of a risk-based approach to managing assets has increased significantly in all sectors within the last decade. Risk-based asset management has shown to be effective for asset-intensive systems, not necessarily by reducing risk, but by using risk to balance the operational performance of the assets against the asset life-cycle cost thereby creating a greater a greater strategic overview of the asset lifecycle.

Expenditure on assets can be rationalised by using an assessment of what risk exposure is acceptable by varying stakeholders. Risk based asset management addresses the following critical issues as a minimum:

- Safety of the network and liability for accident;
- Asset loss or damage;
- Service failure or reduction;
- Operational;
- Environmental;
- Financial;
- Contractual; and
- Reputation;

North Yorkshire County Council as part of continuous improvement continues to develop its approach to risk based asset management in accordance with best practice such as ISO 55000

Inherent Risk Approach

Our approach to risk management is consistent across all the highways service however can be described within the following three separate areas:

- **corporate and the strategic network:** The overall highways service has a number of entries on the corporate risk register including those specific to highways assets such as drainage, maintenance of the network and major network incidents. These are reviewed bi-annually to ensure that the overall assessment is still valid and that the risk reduction actions are kept current. The strategic network was initially formed from the critical routes as part of the winter maintenance function however has expanded to include those assets that support the county's key strategic and service delivery locations. Given the council's ambition for economic growth then this strategic network will be regularly reviewed to ensure that it continues to support the council plan;
- **inspections:** The inspection regime for all highways assets is described in the individual asset management plans and the highways safety inspection manual. The primary risk factor around inspections is usage of the assets and we carry out inspections frequently on the busier areas of the network; and

- **reactive maintenance:** As with planned inspections, each of the highways asset types has an associated risk rating as set out in their respective asset management plans and the highways safety inspection manual. These describe the potential risks of a particular asset failing to perform to its expected level e.g. a carriageway pothole, loose footway slab or blocked gully. This risk is based on a matrix measuring the probability and impact of such an event;
 - probability is the chance that an event with an asset will have an impact on someone or something. Essentially a problem with an asset on a busier part of the network is more likely to have an impact than one on a quiet part.
 - impact is the level of potential damage that a problem with an asset can have on someone or something.

The combination of these two measurements, based on a scale of 1 to 5, gives an overall risk rating which translates into whether there is a response and if so what the timescale is. The risk factors are regularly reviewed and changes are made where circumstances require it e.g. a recent change in the risk factors on parts of the highway used by cyclists.

Initial equality impact assessment screening form (As of October 2015 this form replaces 'Record of decision not to carry out an EIA') This form records an equality screening process to determine the relevance of equality to a proposal, and a decision whether or not a full EIA would be appropriate or proportionate.	
Directorate	Business and Environmental Services
Service area	Highways and Transportation
Proposal being screened	Updated highways infrastructure asset management policy and strategy documents
Officer(s) carrying out screening	Tony Law
What are you proposing to do?	<p>In July 2015, County Council adopted the highways infrastructure asset management policy. In June 2015, County Council adopted the highways infrastructure asset management strategy.</p> <p>This form refers to an update to the above two documents written during 2018 and expected to be adopted by full council in July 2019.</p>
Why are you proposing this? What are the desired outcomes?	<p>Since the previous version were adopted there have been a number of changes to key council strategic documentation, specifically annual updates to the Council Plan and a newer version of the Local Transport Plan. In addition, DfT published a new code of practice on highways maintenance.</p> <p>With this in mind, it is timely to update the asset management policy and strategy to reflect these changes.</p> <p>The policy is a high level document explaining how the Highways and Transportation (H&T) will utilise asset management principles to assist in the delivery of the highways service within the overall strategic aims of the council.</p> <p>The strategy is a document explaining how H&T will utilise asset management principles to assist in the delivery of the highways service. This is within the assertions contained within the highways infrastructure asset management policy which itself delivers on the strategic aims of the Council.</p>
Does the proposal involve a significant commitment or removal of resources? Please give details.	<p>These are high level policy and strategic documents and require neither commitment to nor removal of resources. They set out the high-level aims of the existing highways service with respect to the way in which it manages its asset portfolio which such items as carriageways, bridges, streetlights et. al</p>

Impact on people with any of the following protected characteristics as defined by the Equality Act 2010, or NYCC's additional agreed characteristic

As part of this assessment, please consider the following questions:

- To what extent is this service used by particular groups of people with protected characteristics?
- Does the proposal relate to functions that previous consultation has identified as important?
- Do different groups have different needs or experiences in the area the proposal relates to?

If for any characteristic it is considered that there is likely to be a significant adverse impact or you have ticked 'Don't know/no info available', then a full EIA should be carried out where this is proportionate. You are advised to speak to your [Equality rep](#) for advice if you are in any doubt.

Protected characteristic	Yes	No	Don't know/No info available
Age		✓	
Disability		✓	
Sex (Gender)		✓	
Race		✓	
Sexual orientation		✓	
Gender reassignment		✓	
Religion or belief		✓	
Pregnancy or maternity		✓	
Marriage or civil partnership		✓	
NYCC additional characteristic			
People in rural areas		✓	
People on a low income		✓	
Carer (unpaid family or friend)		✓	
Does the proposal relate to an area where there are known inequalities/probable impacts (e.g. disabled people's access to public transport)? Please give details.	No		
Will the proposal have a significant effect on how other organisations operate? (e.g. partners, funding criteria, etc.). Do any of these organisations support people with protected characteristics? Please explain why you have reached this conclusion.	The highways service is delivered in collaboration with a number of other organisations. As these are updates to an existing documents, and no service levels are changing, there will be no impact on these existing providers.		
Decision (Please tick one option)	EIA not relevant or proportionate:	✓	Continue to full EIA:
Reason for decision	These are updates to the existing policy and strategies from 2015. They state how H&T, following the overall council's strategic aims, will manage all highways assets in an efficient and customer focused manner.		

	<p>As high level documents there are no areas where levels of service will be altered. This means that no customers, including those with protected characteristics, will be impacted negatively.</p> <p>The recognised benefits of asset management including improved efficiency, accountability and customer service will have positive impacts on all users of the highways service.</p>
Signed (Assistant Director or equivalent)	Barrie Mason
Date	03/06/19

NORTH YORKSHIRE COUNTY COUNCIL**July 2019****STATEMENT OF THE PUBLIC HEALTH, PREVENTION & SUPPORTED HOUSING
PORTFOLIO HOLDER COUNTY COUNCILLOR CAROLINE DICKINSON****Public health and prevention in primary care**

The NHS Long Term Plan identified Primary Care Networks (PCNs) as an essential building block of how the NHS wishes to deliver services through Integrated Care Systems in the future. A Primary Care Network will typically serve at least 30,000 and not more than 50,000 people. Low population density across a large rural and remote area such as parts of North Yorkshire could be a legitimate reason for a slightly smaller network size. GP practices have been aligning themselves into PCNs which are being established and awaiting approval from NHS England. We are awaiting confirmation of the final configuration of PCNs in North Yorkshire.

Our Public Health team has been undertaking work with primary care colleagues to understand how we can work together to promote prevention. They interviewed representatives from general practice, pharmacies, CCGs and other representative organisations across the County. Overwhelmingly there was commitment to preventing ill-health and disease. However, this was sometimes over-shadowed by increasing pressures on GPs and other primary care staff to manage people with long-term health conditions and keeping people out of hospital. There was clear enthusiasm for working across health and social care with co-location of staff. Social prescribing, where people can be connected to community activities and support to improve their health and wellbeing was also discussed. This will be a function of new PCNs.

The results of this work will be used to inform future initiatives including training for practice staff, how our commissioned Public Health services work with primary care and how we promote healthier work places. A shared vision is being developed for how we work together over the next 5 years.

Childhood Obesity

In February I reported that North Yorkshire was one of 13 areas selected to develop innovative plans to reduce childhood obesity as part of the Government's Childhood Obesity Trailblazer Programme run in partnership with the Local Government Association. Unfortunately, we were not selected as one of the final 5 areas to receive further funding.

The feedback on our bid and the visit by the national team to North Yorkshire praised the commitment and drive from our project leads and the large number of passionate and committed stakeholders. Cllrs Sanderson, Chance and I can certainly attest to the enthusiasm by partners who met with the team from London.

We remain committed to developing the Healthy School Zone programme (albeit at a slower pace and with somewhat less resources that we would have had). We continue to recruit schools to the project and develop links with local businesses to improve the quality of food that students can access in their school environment.

North Yorkshire Healthy School Award

The new North Yorkshire Healthy Schools Award was launched at the beginning of July. I attended the launch event with 23 primary schools taking part in workshops that reflect the four themes of the Award. These are:

- Personal, Social, Health Education (PSHE) including Relationship and Sex Education
- Emotional Health and Wellbeing
- Active Lifestyles
- Food in Schools

Schools will provide evidence to demonstrate they meet the criteria for the three levels of the Award: Bronze, Silver and Gold. This includes the input from pupils through Pupil Voice questions that are completed for all themes. From the Silver level Award onwards schools must also demonstrate they are meeting criteria around Staff Wellbeing.

Training will be available for staff in the autumn on the practicalities of applying for the Award. An event aimed at secondary schools is planned.

Breastfeeding Friendly Venues Award

At the beginning of July I also attended the launch of the Breastfeeding Friendly Venues Award in Harrogate. This follows a successful pilot in Selby last year. The Breastfeeding Friendly Venues Award has been developed by the Public Health Team, in partnership with the NYCC Early Help Service and the HDFT 0-5 Healthy Child Service, to help identify public places in North Yorkshire that offer a good level of facilities and welcome breastfeeding mothers and their families.

To apply for the Award, staff must actively welcome mothers and babies in their establishments and allow breastfeeding in all customer areas of the venue. Premises are also encouraged to provide a private space for those who prefer not to breastfeed in public view. Businesses and community venues that sign up to the scheme are then promoted through a mapping tool so that families know which premises welcome breastfeeding.

The Breastfeeding Friendly Venues Award compliments a wider programme of support for mothers in North Yorkshire who breastfeed, undertaken as part of the Unicef UK's Baby Friendly Initiative to increase breastfeeding rates and to improve care for all mothers. Locally this includes providing one to one support from specialist trained staff, breastfeeding groups and the promotion of messages through the media and other routes to normalise breastfeeding and highlight sources of advice.

North Yorkshire Workplace Wellbeing Award

The first phase launch of the Workplace Wellbeing Award occurred at the beginning of March 2019 and since then twelve organisations have signed up with three others awaiting senior leadership sign up. This response means there is coverage across all 7 Districts of the County, with organisations based in Settle, Selby, Scarborough, Malton, Harrogate, Northallerton, Leyburn, Catterick and Burton Leonard. There is a good cross section of organisation type and size: one District Council; four schools and seven businesses that range in size from 15 to 570 employees. We have previously worked with a number of these organisations.

The launch was supported by our business partners, the Federation of Small Businesses, Business Improvement Districts and the Local Enterprise Partnership, who are all members of our North Yorkshire Workplace Wellbeing Partnership. The learning from this first phase of roll-out will inform how we adapt the Award for wider take up across employers in the County.

Loneliness in North Yorkshire

Community First North Yorkshire has successfully bid for funding from the Big Lottery Connections Fund; and are working with the Stronger Communities Programme and the Public Health Team to understand the issues of loneliness and isolation in the County and develop a strategy to co-ordinate our efforts around this important topic. The second Elected Members' Network meeting in June provided an opportunity for District and County Council lead members on Public Health to provide input to shaping the strategy.

Loneliness can affect anyone at any stage in life but it is estimated that some 5% of adults are chronically lonely. Loneliness is also more common in some groups. These include young adults, single and widowed people, those in poor health, renters and children who receive free school meals. A sense of not belonging is also a feature of those more likely to report feeling lonely.

With such an important and wide ranging subject, we need a strategy to help us to focus on the things that will make the greatest difference and complement the wide variety of activities and initiatives already in place to prevent and tackle loneliness in the County. Alongside developing a strategic approach, the funding will be used to deliver activities across the County. For example an online social pension planning tool Staying Social Staying Well has been developed and is being piloted. It has been designed to encourage people close to retirement to think about a social pension alongside a financial pension so they consider their future social health. Comprehensive retirement planning should include finances but also ill health prevention, mental and emotional resilience, and social connectedness through volunteering and lifelong learning. The tool will be available to the public soon.

NORTH YORKSHIRE COUNTY COUNCIL

24 JULY 2019

**STATEMENT OF THE OPEN TO BUSINESS PORTFOLIO HOLDER COUNTY
COUNCILLOR ANDREW LEE (INCLUDING GROWTH, ECONOMIC DEVELOPMENT,
PLANNING, WASTE MANAGEMENT, TRADING STANDARDS AND BUSINESS
RELATIONS)**

Economic Development

The York, North Yorkshire & East Riding Local Enterprise Partnership (LEP) continues to discuss the future geography of LEPs with Leeds City Region. Parallel to this they are developing the future Local Industrial Strategy for York and North Yorkshire and a range of local consultations are taking place throughout July.

Waste Management

I am pleased to announce the construction of Kirby Misperton Waste Transfer Station is nearly complete and the site is due to become operational on 1 August 2019. Work on site commenced on 25 September 2018 and the cost of construction was £3.8m. This is a significant development for North Yorkshire County Council, Ryedale District Council and Yorwaste Ltd who are working in partnership to give a secure, long term outlet for the reception, bulking and transfer of waste and recyclables collected in the Ryedale area. This transfer station has been developed using principles of One Public Estate where the County and District Councils have worked together to ensure the best outcome for the taxpayer as a whole, with both parties sharing in the benefits. The facility will replace two existing facilities, one at Knapton Quarry and one on Showfield Lane, Malton, and will be operated by Yorwaste Ltd under contract to NYCC. The waste will be bulked up and delivered to Allerton Waste Recovery Park for processing and the recyclable materials will be bulked up and sent for reprocessing.

Trading Standards**Farming, Food & Health**

Trading Standards contribute to the North Yorkshire Public Health Tobacco strategy by tackling the prevalence of illicit or counterfeit tobacco across the county. Trading Standards activities have included a zero tolerance approach to its supply, promotion of the regional Keep It Out campaign and the use of disruption techniques such as illicit tobacco detection dogs to identify suppliers. The North Yorkshire Illicit Tobacco survey 2019, found that illicit tobacco prevalence across North Yorkshire has reduced from 19% in 2016 to 11%. This reduction can be attributed to the enforcement and disruption activities carried out by Trading Standards. It is estimated that there are a remaining 8,800 North Yorkshire users, and using the results of the 2019 survey a revised action plan will be created with the aim of achieving further reductions to illicit tobacco supply across the county.

eCrime Team:

The team was presented with an award for "Outstanding contribution to industry and enforcement" at the annual Chartered Trading Standards Institute conference in June. This was in recognition of the team leading Operation Jasper, a three year programme of enforcement and disruption activities to tackle the sale of counterfeit and unsafe goods on social media platforms. The work has been internationally recognised and is regularly cited

by Government as being at the forefront of the UK's efforts to tackle social media based sales of illicit goods. It is now used as a template for similar operations being carried out by Europol.

Planning Services

Examination Hearing Sessions into the Minerals and Waste Joint Plan took place between February and April last year, later followed by two additional Hearing Sessions on 24 and 25 January this year to discuss further matters relating to fracking and potash safeguarding. Since then further information, requested by the Inspector has been provided by the Joint Authorities. Work is continuing on the production of an updated Schedule of Main Modifications, however, public consultation on that Schedule will now follow after a further consultation in light of the Government's response (published in May) to a judgment handed down in the High Court in March.

ANDREW LEE

NORTH YORKSHIRE COUNTY COUNCIL

24TH July 2019STATEMENT OF THE PORTFOLIO HOLDER -
LIBRARY, CUSTOMER AND COMMUNITY SERVICES

COUNTY COUNCILLOR GREG WHITE

Library and Information Service**Bookseller Library of the Year – Harrogate**

The Bookseller hosts an annual award to celebrate libraries throughout the UK that support and foster reading with energy and dedication and which are a vital presence in their local communities. To make the shortlist the entrants must demonstrate imaginative ways in which libraries have reached out to users, “the work the best libraries of all sizes do to promote reading, literacy, information and the love of books” how they have made the most of resources, and how individual librarians have contributed to their library’s success. I am proud to announce that Harrogate Library has been chosen as the winner!! Harrogate Library is the busiest in North Yorkshire, hosting a wide range of events and activities from Code Clubs to author events. It has an active cohort of volunteers who assist with all events as well as day-to-day opening.

Deaf Café

The Deaf Café was launched in April 2019 at Harrogate Library, hosted by NYCC sensory workers with refreshments provided by The Deaf Society. This has brought in new library members and helps promote the library as a safe, welcoming venue. In the next few months a member of library staff will attend the group to promote library services.

Fish and Ships Festival

The inclusion of Whitby Library within the FISH & SHIPS Festival came about as a legacy from the working party of Cook 250 last July- invited by Scarborough Council. ‘Fish & Ships’ meetings of different organisations & partners were held to share ideas and promotion prior to festival.

The result was a very successful weekend of events for the library and the Sat 18 May footfall appears to be the highest we’ve recorded at **454**. Sunday had a reach of **169**, giving a weekend total of **623 visitors**. Last year’s figures for the same weekend (Sat only) was 116.

The volunteers were delighted to be part of the project and enjoyed the visit to CRO and proud to have their work on display. They also readily gave extra hours of their time to cover library opening hours.

Skipton Library improvements

Members may remember that Skipton Library was to receive a much-needed improvement in May. I am pleased to report that the official launch took place on 1 July, attended by myself, Chair Cllr Jim Clark and local councillors, library volunteers, partners and customers. The new look ground floor space has been well received

Library IT update

I am pleased to announce that the roll out of the replacement self-serve kiosks is underway. The new kiosks offer a wider range of services including card payments.

Volunteer celebration events

I am pleased to say that the Chair, Cllr Jim Clark, hosted three thank you events for our library volunteers during Volunteers Week in June. These were attended by over 80 volunteers and well received, one attendee said it was the best library meeting he's been to and well worth attending. The events provided the opportunity to present our Library of the Year awards.

NYCC Library of the Year 2018/19

Grassington hub and community library has been named North Yorkshire's library of the year. The community library took the title for its outstanding performance over the last year, in particular the increased visitor numbers, which were reflected in increased use of library services.

Carol Headley, a trustee of the hub, said: "A lot of our success is due to our committed volunteers and we are very proud of what they put into the organisation.

The hub runs a monthly luncheon club and has recently started afternoon tea sessions. Volunteers manage the Helping Hands scheme, which arranges transport for people needing help to attend medical appointments. The hub also acts as a box office for Grassington Festival and other local events and issues parking permits on behalf of the parish council.

Six other libraries were highly commended:

- The Globe, Stokesley;
- Helmsley community library
- Sherburn and villages community library
- Derwent Valley BRIDGE, West Ayton
- Mashamshire community library
- Norton HIVE

The awards were announced by the chair of North Yorkshire County Council, Cllr Jim Clark, during a series of events he attended to celebrate Volunteers Week.

Archives

May was a busy month for the Record Office which took its pop-up archive to venues around the county to celebrate Local History month. These events, held in conjunction with local heritage groups, promote the work of the office, give people the chance to talk to archive professionals and allow them to access a range of historic records relating to their locality. Pop-up archives were held at Thornton Dale, Coxwold, Ripon and Northallerton library and further events are planned for the coming months.

May also saw the Record Office hold its ninth Archives at Dusk evening which, once again, drew a record attendance. 240 people enjoyed an evening of archive entertainment on the theme of law and order. Visitors were taken on a journey through the criminal justice system from medieval punishments and transportation to the development of modern fingerprinting techniques. One regular visitor remarked '9th visit – always amazing! Thank you', while for another it was 'Absolutely fantastic. First time and loved it. Will be back'.

The Record Office has secured a grant of £36,000 from Arts Council England for its Unfolding Origins project. Working in partnership with Chrysalis Arts Development and in collaboration with local and regional artists, an extensive programme of activities will be developed to engage targeted participants including young and elderly people and socially isolated groups and individuals as well as schools, community and heritage groups. Based on archival collections and their wealth of stories and information about place, heritage and local distinctiveness, the project will demonstrate the potential of archives as an inspirational resource and how creative collaboration can help new audiences to understand and interpret their heritage.

Customer

Numbers continue to grow for the customer portal with now over 40k registered accounts which equates to 15% of the households in North Yorkshire and nearly 3k transactions now completed online each month. Services within the portal continue to grow with Blue Badge consistently over 90% which is higher than the national average and significant growth in June for both Older Persons bus pass and disabled bus pass achieved by growing skills in the telephone service explaining the benefits of the customer portal to customers. The team continue to refine our offerings to those who cannot go online with continued development with the libraries and the telephone service. A recent change in our blue badge telephone offering allows those customers who cannot go online to post the relevant documents to the Blue Badge team and then NYCC call back the customer to complete the process, this has reduced the amount of incomplete applications within the team, however, due to the process the team cannot reduce the 6 week wait via the telephone channel compared to 2 week online service. Within the telephone service, average speed of answer is consistent at 80 seconds across the service with a slightly reduced number of calls coming into the service. There has been an increase in the length of telephone transactions by 80 seconds on average which evidences an

increase in complexity on the telephone service, a change that was expected as simple quicker transactions move on-line and the telephone service is left with supporting customers with a higher proportion of complex issues.

NORTH YORKSHIRE COUNTY COUNCIL

25 July 2019

COUNTY COUNCILLOR GARETH DADD

North & West Yorkshire Business Rates Pilot

The first meeting took place of the North & West Yorkshire Business Rates Pilot Joint Committee. The County Council is represented on this committee which oversees the pilot and makes allocations on how the top-slice (an estimated £7.9m across the pilot). Commitments have been made in a number of areas but further opportunities exist to bid for £4m across West and North Yorkshire to help to promote growth. This is in addition to an estimated £1.4m which will be secured for the County Council and the seven District Councils over and above what would have otherwise been the case. I hope these benefits of joint working will continue during the year as the Pilot is, at this stage, due to end on 31 March 2020.

Finance Outturn

Overall we ended 2018/19 on a positive note despite the challenges during the year. There was a net saving of £2.5m against operational budgets for year made up of a number of variances. Financial pressures in Health and Adult Services and Children and Young People's Services' continued whilst non-recurring savings in the Business and Environmental and Corporate Miscellaneous budgets offset the financial pressures in 2018/19, however these will not continue thereafter. Savings targets reflected in Directorate's 2018/19 budgets totalled £15.5m. Reserves remained healthy with the unallocated General Working Balance at £27.2m as at 31 March 2019. The unallocated Strategic Capacity Reserve as at 31 March 2019 was £39.8m.

GARETH DADD

NORTH YORKSHIRE COUNTY COUNCIL

24 JULY 2019

STATEMENT OF CHILDREN'S SERVICES PORTFOLIO HOLDER

Multi-Agency Safeguarding Arrangements

The three Safeguarding Partners (North Yorkshire County Council, North Yorkshire Police and North Yorkshire Clinical Commissioning Groups) in consultation with the relevant agencies have agreed their new multi-agency safeguarding arrangements for North Yorkshire as set out in the Children and Social Work Act (2017). The North Yorkshire Safeguarding Children Partnership (NYSCP) will be fully operational by 29 September 2019 and will undertake the work of the North Yorkshire Children's Trust and North Yorkshire Safeguarding Children Board with the aim to ensure all children in North Yorkshire are safe, happy, healthy and achieving.

To view the NYSCP arrangements visit <http://www.safeguardingchildren.co.uk/aboutus/multi-agency-safeguarding-arrangements>

Children & Families Performance

Children's Services in North Yorkshire continue to be in a strong position. Having witnessed a decrease in referrals to Children's Social Care last Quarter, during Quarter 1 2019/20, we have seen a return to more expected levels. Regardless of any fluctuations in the number of referrals to Children's Social Care, the service continues to display sector leading performance in the speed with which families' needs are accurately assessed. During the past Quarter, 97% of assessments were completed within 45 days. In isolation this is commensurate with an outstanding authority, but it is to the services credit that we see continued improvements in the number of assessments completed within 30 day, increasing from 69% in Quarter 4 2018/19 to 74% in Quarter 1 2019/20.

Reflecting the demand-led nature of social care, we have seen the number of children in care increase over the last Quarter. At the end of Quarter 1 2019/20, there were 460 children in care, including 23 UASC. Robust quality assurance measures are ensuring that taking a child into care remains the last option from a suite of interventions the service can use to support children at risk of harm.

The Leaving Care service continues to perform strongly in its support of young people leaving care, consistently performing considerably above both the national and statistical neighbour averages. At the end of Quarter 1 2019/20, 92.7% of Care Leavers aged 19, 20 or 21 were living in suitable accommodation, compared to 85% nationally. 72% of Care Leavers aged 19, 20 or 21 were in Employment, Education or Training, showing considerably better performance than the national average of 51%.

Medium Term Financial Strategy & 2020 North Yorkshire

Within the Medium Term Financial Strategy (MTFS), the local authority has made a gross investment of £7.6m in High Needs (SEN) and a further £3m in SEN Transport in response to significant cost pressures arising from a rise in the number of children and young people assessed as requiring an Education, Health and Care Plan since 2014. The local authority continues to lobby for a fairer funding settlement for North Yorkshire but will continue to identify measures to reshape provision in line with the Strategic Plan for SEN.

The Directorate has developed plans to meet the savings target in 2019-20 around Inclusion, PRS provision and School Improvement. The approach continues to prioritise and maintain

frontline service delivery as much as possible through innovative models, greater efficiency and increased commercialisation.

Although this information appears in my Statement to Council it should be noted that both Executive Members for the Children and Young People's Service have joint responsibility for all relevant resources.

North Yorkshire Self Harm Pathway

I am pleased to tell you that the North Yorkshire Self Harm Pathway has now been published. The newly developed North Yorkshire Pathway of support for children and young people with self-harming behaviour and/or suicidal thoughts is an online tool that contains information and guidance for parents, and professionals working with children and young people. It also provides support and advice for children and young people who identify themselves as using self-harm as a coping strategy and/or want support as a result of disclosing self-harm, suicidal thoughts and/or a previous suicide attempt.

The pathway is part of the wider work of the Safeguarding Children Partnership, North Yorkshire County Council and their partners to deliver the North Yorkshire Children and Young People's Emotional and Mental Health Strategy.

Janet Sanderson

Executive Member for Children's Services and Special Educational Needs

NORTH YORKSHIRE COUNTY COUNCIL

24 JULY 2019

STATEMENT OF EDUCATION & SKILLS PORTFOLIO HOLDER

School funding

The local authority has continued the 'soft' implementation of the national funding formula (NFF) and has ensured that every school in North Yorkshire has received the phase-specific minimum per pupil level of funding. However, we continue to have real concerns about the level of school funding we receive and we continue to lobby the Department for Education for a fairer funding deal for North Yorkshire. In particular, the local authority is concerned about small secondary schools serving rural areas; we recognise the challenge of balancing the delivery of a broad-based curriculum and achieving a financially sustainable position. We continue to work proactively with local authority maintained schools to support and challenge them to take action to avoid deficit wherever possible.

Funding shortfalls for children and young people with SEN remains an issue in North Yorkshire – as it does across the country – and following Secretary of State approval in February 2019, we have transferred 1.0% of the Schools Block, equating to approximately £3.3m, to the High Needs Block for 2019-20. This is only a temporary measure and will be insufficient to meet current costs and anticipated increases in demand.

Strategic Plan for SEND Education Provision 0-25

Work is progressing to implement the Strategic Plan. This includes:

- Development of new targeted provision model linked to mainstream schools. 15 schools have so far expressed an interest in delivering the new model and further engagement is planned for the autumn term. A small amount of capital funding from Special Provision Capital has been allocated to targeted provision development.
- Development of models for PRS/AP provision is nearing completion, prior to the approval process. New models will be implemented from September 2020.
- Progressing the establishment of Mowbray School (Ripon) to open in January 2020.
- Capital work at Springhead School, The Dales School and the Forest School nearing completion.
- Ongoing work on the proposed special free school in the Selby area including two engagement events for potential proposer groups and meetings with the DfE.
- Increase in Personalised Learning Programme places and supported internships.
- Beginning the review of the special school sixth form offer.

A full review of the first year of the plan will be undertaken alongside development of more detail around phase 2 of the plan.

School organisation

The proposal to close Arkengarthdale School at the end of the current academic year was determined by the Executive Member on 25 June 2019. The Governing Body proposal to relocate Bishop Thornton CE School to the former Burnt Yates School site was approved by the Executive Member on 25 June 2019.

Significant capital projects are either underway or recently completed at a number of North Yorkshire schools and delivered from the School's Capital Programme and the Basic Need Programme. Examples are:

- King James's School, Knaresborough – new 6th form centre including demolition of existing provision.

- Fairburn Community Primary School – additional accommodation
- Nawton Primary School – additional accommodation
- Selby Community Primary School – provision of a new foundation stage unit
- St Mary's RC Primary School, Malton – expansion to provide additional places

There have been 2 secondary and 2 primary academy conversions in Q1. There are currently a further 17 schools that have either been issued with an Academy Order, or an Academy Order is pending, and that are in the pipeline to convert during 2019.

Beyond the expected conversions (forced and voluntary) during 2019 the pipeline appears to be slowing. It is a reasonable assumption, based on current knowledge, that there will be fewer conversions from 2020.

North Yorkshire Coast Opportunities Area

The North Yorkshire Coast Opportunity Area Programme is focussed on improving social mobility in Scarborough, Whitby and Filey. The programme's delivery plan was published in October 2017 and there are a variety of initiatives to boost help improve early years provision, as well as outcomes in numeracy and literacy. Since the start of the project, the following have been delivered to date:

- The recruitment and training of 41 Speech and Language Champions and the provision of speech and language therapist support to 24 primary schools. More than 6,000 pupils have been screened for their current level of development in this area.
- 21 early years settings have accessed addition support and training to develop setting-wide improvement plans and to further develop interaction between staff and children.
- The first Maths Month took place in March 2019. There were 80 events, with 65% of schools taking part and more than 4,000 student experiences.
- Over 50 Literacy Champions have been recruited. They have run events to spread the importance of books and reading skills, with over 800 people benefitting to date.
- Specialist recruitment support provided to school, resulting in high-quality appointments to over 100 posts
- More than 1,800 participants in new extra-curricular activities, involving sport, drama, music and social action.

School Ofsted inspections – overall performance

The percentage of North Yorkshire schools judged Good or Outstanding now stands at 79.1% (compared to 75.4% nationally) at secondary level but below national at primary level (83.8% compared to 86.8% nationally).

The percentage of pupils attending a good or outstanding schools continues to be high and above national at secondary (83.1% North Yorkshire as compared to 78.5 national), but below national at primary (81.7% as compared to 86.5% nationally).

Cllr Patrick Mulligan
Executive Member for Education and Skills

NORTH YORKSHIRE COUNTY COUNCIL**24 JULY 2019****STATEMENT OF THE ACCESS PORTFOLIO HOLDER COUNTY COUNCILLOR
DON MACKENZIE (INCLUDING HIGHWAYS, ROAD AND RAIL TRANSPORT,
BROADBAND, MOBILE TELEPHONY)****Harrogate Congestion Study**

A 12-week public engagement on the Harrogate Congestion Study began on 15 April and closed on 8 July. Almost 15,000 responses have been received.

During the course of this process, officers from our Transport Planning team, supported by consultants WSP and by me as executive member, attended a series of public exhibitions, visited by over 1,000 residents. In addition, officers took part in meetings of Killinghall and Pannal & Burn Bridge Parish Councils and Knaresborough Town Council at which exhibition materials were presented and questions answered.

There will be now be a period of response analysis leading to a report to be brought to the Harrogate & Knaresborough and Skipton & Ripon Area Constituency Committees on 29 August and 6 September. A decision on the next steps to be taken to address congestion in Harrogate and Knaresborough will be made by the Executive later this year.

Highways Maintenance

A record highways budget for the current year will ensure that more than 380 miles of roads in our county will be surface-dressed, taking the total length of highways to receive such preventative treatment over the last six years to more than 2,000 miles, equivalent to a third of the total network.

Surface-dressing represents excellent value for money, at only a fifth of the cost of resurfacing. It maintains skid resistance, prevents water ingress and helps prevent deterioration of the road surface, keeping it in good condition for up to ten years.

Surface dressing is a key component of the way in which the county council manages its highways assets. Members are asked elsewhere on the agenda today to approve the adoption of our latest Highways Infrastructure Asset Management Policy and Strategy.

Highway authorities are now rated by the Department for Transport for the efficient way in which their assets are managed. The higher the rating, the greater the level of funding. North Yorkshire County Council is placed in the highest category, qualifying us for additional funding this year of £4.8m.

Our highways team has worked with our term contractor, Ringway, to develop a procedure to recycle coal tar-based materials, which are hazardous to health. Permits have been obtained from the Environment Agency under which materials removed from our roads during resurfacing work can be processed in mobile recycling plants and converted into a cold lay tarmac, for re-use on our highways. It is anticipated that 11,000 tonnes of recycled tarmac will be used during maintenance work this summer, helping to make our budgets go further and leading to environmental benefits for our residents.

Street Works Permit Scheme

Our Permit Scheme for works carried out on the public highway was launched on 7 February 2018. An annual report on the scheme's first year was presented to BES Executive Members

at a recent meeting with the BES Director. It showed that many of the intended benefits are being delivered. One notable improvement is the reduction in the duration of works being carried out by utility companies and their contractors. This has resulted in less congestion and fewer delays for the travelling public.

I remind members that details of all permits issued, including the utility involved and the nature and duration of the work being carried out, can be checked on the interactive roadworks map on the NYCC website (www.northyorks.gov.uk/roadworks-map)

Scarborough Park & Ride

Members of the Executive voted unanimously last month to approve the recommendation to close both Park & Ride sites in Scarborough henceforth from 1 November to 31 March. The number of users of the facilities during the off-season has declined sharply in the last seven years. Keeping the service open all year round no longer represents good value for money, nor is the environmental cost of empty buses plying the town's streets sustainable.

This course of action was favoured by most respondents to the 12-week period of public consultation which took place from the beginning of February until the end of April.

A64 and A66 upgrades

The county council supports improvements to the A64 between York and Scarborough, and has given its backing to the proposal to dual the road between the Hopgrove Roundabout and Barton Hill. This upgrade is key to the improvement in journey times and reliability, and has been recognised in the Strategic Transport Plan of Transport for the North.

The County Council continues to work with our MPs, our District and Borough Council colleagues and the business-led A64 Growth Partnership to make the case to the Department for Transport that an improved A64 is vital to the economy of the Yorkshire Coast and Ryedale.

Like the A64, the A66 is an east-west trunk road, which is managed by Highways England. It is an important route for freight traffic and also for tourism, giving access to the Yorkshire Dales, the Lake District and the North Pennines.

Highways England has just completed a consultation on designs for upgrading single-carriageway sections that would bring the whole route from Scotch Corner to Penrith up to dual carriageway standard. The consultation included a programme of events in North Yorkshire, which the BES Director and I attended in May.

Improving east-west connectivity is a key priority for this council, and upgrades to both of these roads would bring about significant enhancements to the local transport infrastructure

Broadband and Mobile Telephony

North Yorkshire County Council will shortly confirm the award of a contract worth £11.1m to create a local full fibre network based around up to 391 public buildings located throughout the county. This project, funded by a successful bid to the Government's Challenge Fund last year, is expected to encourage commercial investment in full fibre and boost our rapidly-improving digital economy.

DON MACKENZIE

NORTH YORKSHIRE COUNTY COUNCIL**24th July 2019****STATEMENT FROM PORTFOLIO HOLDER FOR STRONGER COMMUNITIES,
LEGAL AND DEMOCRATIC SERVICES, CORPORATE DEVELOPMENT,
SCRUTINY, AREA COMMITTEES, PERFORMANCE MONITORING AND
LOCALITY BUDGETS****COUNTY COUNCILLOR DAVID CHANCE****STRONGER COMMUNITIES****Stronger Communities Programme Evaluation**

I informed Members last year of the successful procurement, and commencement of, a long term independent evaluation of the Stronger Communities Programme and its investment strategy. Taking place over four years and measured against five key evaluation questions, the evaluators will be advising and informing the Programme about how best resources and investment can be deployed, to ensure the maximum impact and benefit for communities in North Yorkshire.

The first year of the evaluation has now concluded and to help develop the first report the evaluators have engaged with a wide range of stakeholders, including grant recipients, the wider voluntary sector, key internal commissioners and specialist support providers. I look forward to reviewing the report and I will continue to keep Members updated as this progresses.

Strong and Steady Update

Members will be aware of Strong and Steady, the countywide physical activity service for older people. The Programme is now half way through its life and I am pleased to say that in excess of 400 individuals have been supported through the more intensive targeted sessions which are tailored to individual needs. Many of these individuals have 'stepped down' into universal sessions which provide a structured community led opportunity for social interaction through age and ability appropriate physical activity and sport.

North Yorkshire Connect

Developed in-house, North Yorkshire Connect is the new community directory for North Yorkshire. First launched in 2017, the directory is the central repository for all community events, activities and services in North Yorkshire, aiming to improve the access to, and standard of, information available. Since its launch, the Council has received a lot of feedback from organisations advertising on the site and the public using the site. As a result, a new website was launched in February this year that contained a number of improvements including updates to the search function and data importing. A marketing and engagement campaign is now underway until September to encourage more groups to upload their information onto the site, and to increase the usage of the site among the public. I would encourage Members to

advertise the directory to any community groups in their ward who may wish to register.

Celebrating Sleights – Connected Communities

Connected Communities is a joint project between the Council's Technology and Change, and Stronger Communities Teams. Taking place in Sleights the project uses technology to develop local community infrastructure and support the local community to become better connected. While tackling access, loneliness and social isolation is an important part of the project, it's also about understanding how technology can improve local life and connect people more with their local community.

In June, I attended a celebration event held in Sleights bringing the community together and recognising the positive steps that have been made to date. Despite the torrential rain, many residents attended the event and took part in the activities on offer. The Council were invited to deliver a presentation on Connected Communities at the National Housing Conference in Manchester. The presentation went down well with those delegates attending, with many interested to understand the community led drive underpinning the technology. This is an exciting project that serves as a pilot area to understand what works to better understand how it might be rolled out to other areas in the future.

Surrey County Council Summit

The Stronger Communities Programme were invited to attend a summit hosted by Surrey County Council who were seeking input into the design of their own community engagement programme. Information shared with Surrey also attracted the interest of Cornwall Council and has now been passed to the Ministry for Housing, Communities and Local Government, to feed into the development of a National Communities Framework.

LEGAL AND DEMOCRATIC SERVICES

Overview and scrutiny – New central government guidance on the operation of overview and scrutiny in local authorities was published on 7 May 2019. It is a useful restatement of the key role that scrutiny has to play as a check and balance and in good governance. Scrutiny Board has reviewed the guidance and I am pleased to say that the Council's scrutiny function performs well when judged against the criteria set out in the document.

School Admission Appeals – The appeals team in Democratic Services have been working hard during the bulk admissions appeal season, April to August, to ensure that parents and carers are able to exercise their right to appeal a school admission decision. This important service is dependent upon the hard work, commitment and dedication of a small number of volunteer panel members who provide an independent decision at the appeals panel.

Area Constituency Committees – The committees have now been in place for 1 year. Over the course of this first year, they have: developed a work programme that reflects the key strategic issues in their areas; built a working relationship with their MPs; and linked in and coordinated their efforts with scrutiny. In this second year of

the committees, we will work with the Police and other key stakeholders to develop data, information and analysis on community safety and resilience that enables the committees to gain a deeper understanding of their area.

COUNTY COUNCILLOR LOCALITY BUDGETS

With effect from 01 July 2019, Members are able to submit recommendations for projects using their Environmental Locality Budget (ELB).

All recommendations for Locality Budgets (LB) and ELB need to be made using the current LB online form (Flexi-Grant).

The intention is that Members should use the £5,000 ELB budget for environmental projects, including work on the Highway and Public Rights of Way, and the £5,000 LB budget more widely, but as previously, the budgets are flexible.

If the work is to be undertaken by NYCC Highways – the minimum award is £1,000. The work to be undertaken and the cost **MUST** be agreed with the Highways Area Office before completing the online form.

If the work is to be undertaken by an external organisation – the minimum award is £300 and the maximum total funding for a project or activity in a financial year is £5,000. Funding cannot be awarded to private businesses or individuals.

The final date for the submission of recommendations is 31 January 2020.

DAVID CHANCE

Michael Harrison – July County Council Statement**Harrogate & Rural Alliance**

Preparations continue in advance of the launch of the Alliance in September. This ground-breaking scheme is bringing together teams from NYCC and Harrogate District Foundation Trust to work closely with Primary Care colleagues under a single management structure. An Alliance Director has been appointed and work is continuing to develop approaches to Multi-Disciplinary Team Meetings ready for launch. Wider stakeholder briefings are planned to share the development of the alliance and understand opportunities to bring wider partners into it in due course.

Ministerial Visit

We were pleased to welcome Caroline Dinenage MP, Minister of State for Care, to North Yorkshire and York recently to mark national Care Homes Open Day. As part of her visit, the Minister spent time at the County Council's home at 5 Whitby Road in Pickering. She met residents and team members for afternoon tea and also spent time hearing about our quality improvement work, Living Well and Stronger Communities, as well as talking to partners from the local GP Practice and the Independent Care Group.

Delayed Transfers of Care (DToC)

NYCC have continued to improve performance in relation to the Delayed Transfers of Care attributed to Adult Social Care. In April Adult Social Care had a delay rate of 1.8 against a target of no more than 2.9 days, and also supported the wider health system to achieve an on-target result of 9.5 days.

We have developed a pilot role of Transfer of Care Coordinator to begin in August working in the local hospitals, with the aim of preventing unnecessary hospital admissions, sharing knowledge and skills with colleagues within the health systems, and to support the reduction in long length of stays and delayed transfers of care.

Safeguarding Week

Safeguarding week took place in June with the Council involved in a number of events taking place across the County.

A conference was held in Harrogate for over 300 health and social care professionals from across City of York and North Yorkshire. Keynote speakers focused on modern slavery, human trafficking and domestic homicide. A variety of workshops also took place on learnings from domestic homicide reviews; modern slavery and human trafficking; county lines; suicide prevention; harmful sexual behaviours and adult self-neglect.

This is an area of significant national and local interest and the Council is performing a key role.

Dementia

Dementia Awareness Week was held at the end of May with the roll out of awareness sessions by Dementia Forward, focussing on areas where they had previously not delivered services within the County. They are working closely with local Dementia Friendly groups across the county to ensure clarity of roles, responsibilities and communication lines between localities and the countywide implementation board.

We are continuing to implement the Bring Me Sunshine Strategy with the focus being on facilitating and improving diagnosis of dementia. Working with Clinical Commissioning Groups, primary care colleagues and those who use services our new contracted service with Dementia Forward is helping to ensure that the diagnosis process is clear and transparent to people and involves no more professionals or appointments than necessary.

We are also working to ensure that a diagnosis is delivered in a suitable way to recognise the impact on the person and their carer and that follow up support is offered.

Digital ‘Dragons Den’ (North Yorkshire Digital Challenge)

Following a successful North Yorkshire Digital Challenge session on 24th May three digital ideas that were submitted are being investigated to see whether grant funding can be allocated to develop further. The ideas under review are innovative approaches to monitoring activity in the home to ensure a person’s health and wellbeing, including a mobile telephony solution for people with dementia. The initiative is being sponsored by, and will report to, the Health and Wellbeing Board later in the year.

NORTH YORKSHIRE COUNTY COUNCIL
24 July 2019
SCRUTINY BOARD – STATEMENT BY THE CHAIRMAN

Members will be aware that Scrutiny Board brings together the Chairs of the five thematic overview and scrutiny committees at the Council and the Older Peoples' and Young People's Champions. It provides an opportunity for a whole council view of scrutiny activity, which avoids gaps and overlaps and helps establish a lead committee for areas of joint interest. It also provides a forum in which the key performance issues for the Council can be reviewed and items for further scrutiny identified.

The last meeting of Scrutiny Board was on 16 May 2019. At that meeting the following matters relating to the delivery of overview and scrutiny at the Council were discussed:

- Agreeing a programme of joint scrutiny, across all five committees, of the Council's efforts to reduce carbon dioxide emissions directly associated with its services and operations
- The new central government guidance on overview and scrutiny in local government and any areas for local improvement in practice
- Committee work programmes, the development of substantive lines of enquiry for overview and scrutiny and identifying a lead committee for them.

Members of Scrutiny Board attended the Executive Performance Monitoring meeting on 21 May 2019, to raise questions regarding key areas of the Council's performance under the headings of Public Health and Modern Council. This included clarifying:

- What action was being taken to mitigate the impact of the reduction in the Public Health Grant, by central government, upon key prevention and early intervention services
- What more could be done to reduce variations in life expectancy and disease free life expectancy across the county
- Whether more could be done to promote the uptake of NHS Health checks and whether the health checks themselves could cover a broader range of tests, such as blood and urine
- What work was being done to promote the uptake of vaccinations and whether the Council had a role to play in public reassurance and myth busting
- What measures are being put in place to prevent fraud as more of our services are moved on-line
- Whether more could be done to reduce competition between public sector and community sector groups for volunteers and whether greater collaboration was needed.

The meeting of Scrutiny Board on 16 May 2019 was my first as Chairman. I would like to express my thanks to my predecessor, County Councillor Jim Clark, for the work that he has done over a two year period to champion the work of overview and scrutiny at the Council. I look forward to continuing that work as the Council changes the way in which it delivers services to meet changing demands and increasing financial pressures.

Chairman of Scrutiny Board, County Councillor Derek Bastiman, 4 July 2019

NORTH YORKSHIRE COUNTY COUNCIL**24 July 2019****YOUNG PEOPLES OVERVIEW AND SCRUTINY COMMITTEE****CHAIRMAN'S STATEMENT****Disabled Childrens Service**

1. We all want the best possible outcomes for children and young people with Special Educational Needs and Disabilities. The committee wanted to know more about how we promote the health, safety and well-being of disabled children and young people, ensuring they can fully participate in family and community life, enjoying themselves with friends and making decisions about their lives. We were looking, for example, for reassurance that the service is geared towards preventing family crisis through the provision of the right level of support at the right time.
2. Eligibility criteria determines that support is provided for disabled children and young people where the disability has a substantial and long term effect on their ability to carry out day-to-day activities AND there are *significant* difficulties in meeting needs within their family, broader support networks or through local universal provision. Some members queried what constitutes *significant*; furthermore, how can we be sure the interpretation of criteria was being fairly made. Applying this criteria and its correlative definitions is inevitably complex; but we were encouraged by the degree of confidence shown in how professional judgement, together with an increasing body of national knowledge from SEND tribunal decisions, was ensuring a fair and consistent level of support.
3. Out of a budget of circa £5m:
 - staffing is provided by DCS Manager, 4.5 Locality Team Leaders, 18.5 Social Workers and 2.5 Family Support Workers.
 - 3 Children's Resource Centres are NYCC managed: Nidderdale (Harrogate), Morton-on-Swale and Cherry Tree Lodge (Scarborough). All 3 of these are rated as Outstanding by Ofsted.
4. Of the 400 children supported by the service:
 - 27 are Looked After Children.
 - 20 classed as Looked after because they who have substantial packages of short breaks sometimes in more than one setting.
 - 5 are covered by a Child Protection Plan.
 - 340+ are receiving a service under s17 Children Act/Short Breaks Guidance

5. In terms of short breaks - a lifeline for many parents and carers, and an issue of interest for some time for the committee - many families use direct payments as part of exercising choice and control. We were pleased to see a willingness to take a proactive approach to promoting direct payments as an option.
6. We considered the challenges facing the service:
 - The cost of purchasing care is high and increasing; National Living Wage, Auto-Enrolment pensions are factors here.
 - The availability of domiciliary care is less and decreasing.
 - Parents find it hard to use Direct Payments because they cannot recruit Personal Assistants.
 - Recruiting and retaining skilled staff in Children's Resource Centres is difficult.
 - Reductions in the budget for DCS is affecting support to families.
 - Significant reduction in health funding (over £200k less since 2017).
 - The service has - with good cause we accepted - overspent since 2012.
7. More widely, for the council the key issues are:
 - The range of legal duties in respect of disabled children is wide and the Council has to balance the budget against the risk of legal challenge.
 - Availability of budget cannot be used as a reason not to meet assessed needs.
 - Rising expectations from families, especially those with children with autism who do not meet the DCS eligibility criteria – this creates pressure in the Children and Families Service.
 - Reducing the budget of DCS does not necessarily save the Council money long term because short breaks help sustain children to stay with their families.
8. Although this was the last meeting's major topic and the evidence we reviewed was succinct and very well presented, it would be rash, given the level of detail we were able to go into, to make bold assertions about the effectiveness of the authority's approach. That said, members were impressed with:
 - the commitment to meet the needs of disabled children and young people in a way that addresses the considerable complexity, both for professionals and families;
 - the understanding that different families will welcome different forms of support;
 - the commitment to empowerment in decision making and accessing resources - making sure the views of disabled children and young people themselves are taken into account in the provision of service;
 - the commitment to improving the quality of responsive services and timely support; and
 - the adoption of a range of actions in support of the further development and sustainability of short breaks.

9. Transition at all stages, whether from birth to home, home to nursery, primary to secondary, to a new home or residential situation or transition into a new specialist service, is a key issue for children, young people and families. We were, therefore, pleased to hear that HAS and CYPS have worked hard at improving the sharing of information and the planning and budgeting for services. We were advised that clear protocols are consolidating better integration of services in appropriate areas to ensure appropriate support is in place as children move to adulthood.
10. Appropriate support into adulthood is the responsibility of a wide range of partners. Our interest in this is shared by the Care and Independence Overview Scrutiny Committee. I look forward to some joint work on this topic in the very near future.

Schools Finances Update

11. School finances clearly remain challenging for a number of schools in North Yorkshire. Funding has not kept pace with cost pressures which adds to local issues such as fluctuations in pupil numbers. Aggregate school balances have continued to reduce in 2018/19 although they remain in a surplus position.
12. The concern is that the financial position of maintained schools will continue to deteriorate. However, it was clear that the local authority is not complacent and will continue to work proactively with a range of individual schools to avoid deficits wherever possible and to develop robust recovery plans for those projecting a deficit, or in the worst case, tackling accumulated historical deficits.
13. We will keep a weather eye on this issue over the course of the year.

Looking ahead

14. At our next meeting we will discuss with Professor Maggie Atkinson, Chair of the Children's Safeguarding Board, how effectively local safeguarding arrangements are protecting children and young people.
15. We will also review how NYCC supports children in and out of education who have medical condition, especially a chronic (life threatening) illness.

JANET JEFFERSON

Chairman, Young Peoples Overview and Scrutiny Committee

County Hall,
NORTHALLERTON
5 July 2019

Background Documents - Nil.

NORTH YORKSHIRE COUNTY COUNCIL**24 July 2019****CARE AND INDEPENDENCE OVERVIEW AND SCRUTINY COMMITTEE****CHAIRMAN'S STATEMENT**

1. I am pleased to submit my first statement as Chairman of the Care and Independence Overview and Scrutiny Committee. Firstly, I want to repeat my thanks to my predecessor, John Ennis, for everything the committee accomplished on his watch, for handing over the committee's business in such good health, and for his guidance in helping me to step into the big shoes he leaves behind.

Learning Disabilities and All Age Autism Strategy

2. About 1.5 million people in the UK have a learning disability, according to the charity Mencap. People with learning disabilities are among the major client groups to receive adult social care. In North Yorkshire it is estimated that there are 11,338 people with a learning disability aged 18-85. This is predicted to rise to 11,870 by 2030.
3. Launched in June 2017, the Health and Well Being Boards "Live Well, Live Longer" strategy for people with Learning Disabilities was created in consultation with people who have a Learning Disability in North Yorkshire. It is grounded in a partnership approach between NYCC & NHS partners. We wanted to understand the contribution that the HAS directorate makes to the strategy by asking ourselves: "Are we where we want to be?" I will highlight some of our impressions.

Overall we found governance arrangements to be sound.

4. The Learning Disability Partnership Board is an effective user-led body. We know this by members' previous work which highlighted the value and strength of our approach to user engagement and empowerment. John reported last time how pleased committee members were that the numbers of people with a learning disability who can self-advocate is growing.

The service is looking at people's strengths and skills to help them live in the community. Support is focussed on the person

5. We liked that since April 2017 assessment staff throughout the whole of Adult social care pathway work with and support adults with Learning Disability.

We have a good organisational culture and are determined to build on that and move forward.

6. Practice advisors for learning disabilities are based in locality teams to support staff to achieve best outcomes for people

Services are being planned around people's skills and interests. We are supporting the person to have an ordinary and meaningful life

7. Across the County there are around 200 Supported Living properties, housing over 500 people, so it is essential that we ensure services and properties are good quality and provide value for money. It is reassuring that a programme of work was started in September 2018 to provide a strength based assessment for all adults with Learning Disability, residing in supported living accommodation. The aim is to focus on individuals strengths and promote maximum independence.
8. On the basis of what we heard, we believe that the directorate's actions are consistent with the aims of the strategy that people with a learning disability should have the opportunity to live long and healthy lives.
9. The total number of young people with a learning disability aged 14-18 in North Yorkshire is approximately 500. On average just over 100 young people will "move" from Children's Services to Adult Social Care, of whom a third will have an array of complex needs. I know Janet Jefferson, Chair of Young Peoples Overview and Scrutiny Committee, is keen to do some exploratory joint scrutiny work on the new pathway that has been agreed between the HAS and CYPS directorates for young people transitioning from children's social care to adult social care. As scrutiny members it is important we can assess how well we are supporting and responding to need during this very important stage in a young person's life.

Direct Payments

10. A direct payment is the amount of money that the local council pays to an individual who has been assessed as needing help from social services, and who would like to arrange and pay for their own care and support services instead of receiving them directly from the local authority.
11. There is considerable evidence that many of those who have opted for Direct Payments have found it a positive change. The advantages include:
 - They offer (and can increase) choice, control and flexibility.
 - They can build confidence and gives power.
 - It can cost the authority less.
12. However, 23 years after the introduction of direct payments – and despite successive governments' attempts to promote them – few people eligible to use them do so. Furthermore, there is great variation in the take-up in local authorities and between service user groups.
13. Statistically speaking, NYCC's comparative performance appears disappointing. We found good reasons for this, most notably connected with social care market conditions - for example the majority of direct payments money is, in practice, used to pay for personal assistants where the lack of provider availability is a significant

problem. Some people eligible for support are simply uncomfortable about being an employer and the responsibilities that come with that. Some may lack confidence about keeping careful records and safely filing important documents such as receipts, although help to make these arrangements is available.

14. It may be the case that rurality and the demographic profile of the people we support makes it a challenge for the directorate to significantly increase take-up. Yet we saw:

- no lack of enthusiasm on the directorate's part for promoting direct payments to staff working with specific care groups;
- indications that direct payments are routinely offered as an option to people eligible to use them;
- efforts to make staff more aware about what direct payments can be used for, who is eligible to use them, and how they can be accessed; and
- resources are well-placed to support people to help them manage their direct payments.

15. Whilst the figures around take-up may not be where we would like them to be, there is convincing evidence that there is a supporting infrastructure within the directorate combined with a good understanding of the principles behind direct payments.

16. We will look again at our performance in a years' time.

Looking ahead

17. At the next meeting the committee will review:

- The support provided to adult carers of adults in North Yorkshire - specifically to provide an objective view of these services and whether they provide value for money.
- How NYCC uses Assistive Technology to enable people to live more independent lives for longer.
- Budget position - an update on the operational actions undertaken to address the overspend.
- Mental Health – implementation and pathway.
- Living Well - evaluation of progress.

KARIN SEDGWICK

Chairman, Care and Independence Overview and Scrutiny Committee

County Hall,
NORTHALLERTON

10 July 2018

Background Documents - Nil

NORTH YORKSHIRE COUNTY COUNCIL

24 JULY 2019

CORPORATE & PARTNERSHIPS OVERVIEW AND SCRUTINY COMMITTEE

CHAIRMAN'S STATEMENT

Since my last statement to Council, the Corporate and Partnership Overview and Scrutiny Committee has held one formal meeting on 17 June 2019.

The Committee met with the Chair of the Community Safety Partnership and received a bi-annual update on Community Safety Plan delivery and partnership working. We were pleased to note that the Community Safety Hubs that have been introduced across the region are going from strength to strength, with good practice being shared between them etc. We were particularly pleased to note that the Scarborough Hub was leading the way in regards to development and that this approach to multi-agency collaboration is considered a national exemplar. The Committee also received an update on North Yorkshire & York's joint Domestic Abuse Strategy. The joint commissioning arrangements in place are unique and have also generated lots of interest nationally.

The Committee considered an annual update on the operation of the County Councillor Locality Budgets, which provided a summary of the scheme and a breakdown of the committed funding for the financial year 2018/19. It was concerning to note that in one electoral division none of the locality budget had been spent, which is a lost opportunity for community and voluntary organisations in that area, particularly when considering the possible matched funding it could have generated. I would therefore encourage ALL members to make the very most of the available budget for your communities.

In regards to the Parish Portal and Parish Council engagement, the Committee learned that 390 parishes are now using the portal, and that there are plans to integrate a number of other services that will further improve parish self-serve access to services and information. It would be helpful if all members could encourage those parishes who are currently not on the portal or those registered but not using it, to do so. The Committee have for a long time been asking for a similar portal for Councillors. However, having received information on an alternative tool under consideration, we look forward to having that introduced, and we will be receiving an update on that later this year.

The Committee also considered a draft final report arising from our review of Adult Reoffending Rates in North Yorkshire. The report detailed the work undertaken by the Committee since 2017 to keep a watching brief on the ongoing work to embed changes to the delivery of probation services under the Government's Transforming Rehabilitation agenda.

Our key finding from the review centres on the lack of appropriate and timely access to education and training, a recognised barrier to reducing re-offending rates. We were therefore pleased to note that the Ministry of Justice is aiming to drive down reoffending through a wider education and employment strategy.

Having learnt that a new delivery model is now to be introduced, we will be recommending to the Executive that they write to the National Probation Service to seek assurance that the provision of fast and efficient access to appropriate education will be addressed

through the design of the model. We will also continue to monitor the work of the National Probation Service.

Finally, we received a detailed year two update on the delivery of library services, and an overview of the planned review of NYCC's Library Strategy. Whilst some concerns were highlighted around maintaining community library committee membership, volunteer recruitment, IT parameters and GDPR, the Committee were pleased to note that many community libraries are looking to extend their services, and that the delivery model in place is considered a national trail blazer, with positive articles appearing in various national newspapers.

However, the update on library services did bring to light a number of barriers to community library sustainability. Issues around short term leases and rents were identified. In response to this, and as the committee has previously expressed an interest in reviewing NYCC property and land holdings and opportunities for shared use of council owned buildings, at our forthcoming mid cycle briefing later this month we will be considering an appropriate remit for such a scrutiny review.

Cllr Derek Bastiman

Chairman - Corporate and Partnerships Overview and Scrutiny Committee

12 July 2019

North Yorkshire County Council

24 July 2019

**Transport, Economy and Environment
Overview and Scrutiny Committee**

Chairman's Statement

1. Since the previous statement the Committee met on 12 June 2019.

Committee – 12 June 2019

2. The Committee met in order to respond to the call-in on the contribution rate for post-16 home to school transport for the 2019/20 academic year. After a detailed discussion hearing the case from both parties the Committee decided not to make a referral in relation to the matter.

20mph speed limit policy task group

3. The task group met on 22 May 2019 to look at the conclusions and recommendations from the 20 mph National Research Study ('Atkins Report'), the DfT guidance and NYCC's current 20mph speed limit policy. Statistics were shared at the meeting on the number of Killed and Seriously Injured Casualties on the road crude rate per 100,000 by North Yorkshire district.
4. At the task group's next meeting later this month, more detailed district-based statistics showing the number of speed-related accidents in 30mph speed limit areas, and by road classification, will be brought to the meeting.
5. A range of stakeholders will then be invited to a subsequent meeting to discuss their policy position on 20 mph speed limits arising from the Atkins Report.
6. Findings from a number of other shire counties show that at this stage they have no plans to review their current guidance in light of the findings of the Atkins Report. Where 20 mph speed limits exist in their county little or no evaluation has been undertaken.

Committee – 15 July 2019

7. The following items will be brought to the next committee meeting on 15 July 2019:

Highways Maintenance Contract	To receive the annual report on actions being put in place by the highways maintenance & highways improvement contractor (Ringway) to improve performance and communications
Highways England	Annual update
Home to School transport policy for statutory aged pupils	To discuss the results of the current consultation on home to school transport policy for statutory aged children and agree recommendations to the Executive thereof.
Local Flood Risk Management Strategy	Update on the implementation of the Local Flood Risk Management Strategy including flood risk/coastal erosion alleviation measures put in place/scheduled to be put in place.
North Yorkshire and York Local Nature Partnership	Update report

County Councillor Stanley Lumley

Chairman

Transport, Economy and Environment Overview and Scrutiny Committee

County Hall

Northallerton

8 July 2019

NORTH YORKSHIRE COUNTY COUNCIL
24 July 2019

SCRUTINY OF HEALTH COMMITTEE – STATEMENT BY THE CHAIRMAN

This is my first statement as the Chairman of the Scrutiny of Health Committee. I would like to thank my predecessor, County Councillor Jim Clark, for all of the work that he did over the past 9 years to champion local health scrutiny. I have been fortunate to inherit a confident and experienced committee that will be able to articulate public expectations, and respond to the increasingly complex changes to the health system that serves the people of North Yorkshire.

The Scrutiny of Health Committee formally met on 21 June 2019. At that meeting the following changes to NHS services used by the people of North Yorkshire were scrutinised.

The Friarage hospital, Northallerton

The changes to critical care services provided at the Friarage Hospital in Northallerton came into effect on 27 March 2019. These changes were triggered by concerns about patient safety as a result of shortages in senior clinical and consultant cover, particularly in anaesthesia. At the June meeting, the committee reviewed performance and patient outcome data relating to the first three months of the operation of the new model. The results were positive and the committee is cautiously optimistic about the ongoing delivery of the new service model.

The proposed consultation on the options for the sustainable delivery of urgent treatment and critical care at the Friarage Hospital will be brought to the committee meeting on 13 September 2019. Thereafter, the early analysis of the findings from the consultation and proposed next steps will be brought to the committee meeting on 13 December 2019.

The committee, in monitoring very closely these developments, has benefitted from an ongoing dialogue with representatives and clinicians from the Friarage and South Tees Hospitals NHS Foundation Trust. This has been appreciated.

Decommissioning of the minor injury service in GP practices in the Hambleton Richmondshire and Whitby CCG area

The Minor Injuries Local Enhanced Scheme in GP practices, commissioned by the Hambleton Richmondshire and Whitby CCG, was stopped on 1 April 2019. This was due to financial pressures, relatively low use of the service and duplicate commissioning of minor injuries services in primary and secondary care.

Since then, the service has been re-instated in two 'super-rural' surgeries in the Dales. Whilst the committee understood the rationale for the decision, concerns were raised about the uncoordinated commissioning arrangements in the area, the impact upon the more rural populations and not just those defined as 'super rural', and the apparent retrograde step that had been taken.

Currently, the focus of discussions within the NHS locally, regionally and nationally is upon delivery of as many health interventions and services as possible out of hospital and near to where people live. In this context, removing enhanced services

from GP practices that reduce the flow of people to hospital does not make sense. This point has been made powerfully to the CCG.

General surgery at Scarborough Hospital

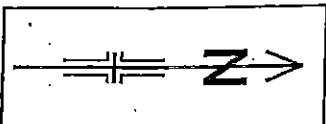
Over the past 12 months, the provision of a 24 hour general surgery at Scarborough Hospital has been at risk due to workforce shortages. In response, York Teaching Hospital NHS Foundation Trust is seeking to introduce a Trust-wide rota, which should address the workforce issues on the coast and lead to a more sustainable model of delivery. The committee will continue to monitor the situation and also scrutinise the work that is being done as part of the Scarborough Acute Service Review. Scarborough Hospital and the range of emergency and non-emergency services that it provides are critical as the next nearest major hospital is 42 miles away.

North Yorkshire Clinical Commissioning Groups

The previous Chairman's Statement detailed the process for the merger of the three North Yorkshire Clinical Commissioning Groups: Hambleton, Richmondshire and Whitby; Harrogate and Rural District; and Scarborough and Ryedale. Since then, we have been informed of the proposed merger of the three Clinical Commissioning Groups that cover Bradford and Craven, as of 1 April 2020: Airedale, Wharfedale and Craven; Bradford City; and NHS Bradford Districts. Whilst there is a history of close working between the three CCGs, the impetus for the merger has been financial as NHS England has imposed funding cuts of 20% (approximately £2.5m) to running costs.

In summary, financial pressures and work force shortages continue to drive change in the NHS in North Yorkshire. Access to appointments and services remains a challenge and keeping people out of hospital a priority. The latter is dependent upon the NHS building a sustainable model for the delivery of services, which overcomes some of the issues associated with the commissioner / provider split. It is our job to monitor this evolution in the interests of the residents of North Yorkshire.

County Councillor John Ennis
Chairman, Scrutiny of Health Committee
5 July 2019



Members
Car Parking

Visitors
Car Parking

Pond

Pond

**SOUTH SIDE
CAR PARK**

Fire Assembly
Point

MAIN
EVACUATION ROUTE

Council
Chamber

SECONDARY
EVACUATION ROUTE

Fire Assembly
Point

**NORTH SIDE
CAR PARK**

El Sub Sta

COUNTY HALL NORTHALLERTON

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Meetings of the County Council - Evacuation in Emergency

Although it is very unlikely that there will be a fire, or any other emergency, on the day of a meeting of the County Council, it remains a possibility that such an emergency will arise. The following guidance notes are intended to assist Members of the Council and the public to evacuate the building safely, in such circumstances.

The Council Chamber, and the associated Public Gallery, pose a number of difficulties for rapid evacuation in an emergency, not least being the considerable number of people who can be in the Chamber and the Gallery at any time, but also because of evacuation routes from the building. If, in the case of a fire or other emergency, the main staircase were to be impassable, it would be necessary to evacuate the Council Chamber through the Public Gallery and, from there, down the staircase that leads from the Public Gallery to the central courtyard. It is not possible, however, to know which route is most appropriate, if an alarm is sounded, without a quick preliminary examination being made.

(a) Therefore, if the fire alarm is sounded during a meeting of the County Council:-

- the Democratic Services Manager (or another Democratic Services Officer) will act as Fire Evacuation Warden and will issue instructions on the appropriate exit to use, as soon as it is established by a Democratic Services officer whether the main staircase is clear of fire or obstruction;
- please leave your seat without delay, so that you are ready to leave the Chamber when the evacuation route is clear;
- if evacuation is via the main staircase, please leave the building by the main doors, but assemble on the south side car park (with Members' parking bays marked in red);
- if evacuation is via the Public Gallery, please leave the Chamber via the doors in the Labour/Liberal Democrat corner of the room, through the Public Gallery and down the staircase to the centre courtyard, from there via the courtyard gate to assemble in the north side car park (by the Superintendent's house on Racecourse Lane);
- Democratic Services staff will ensure that the Chamber and Public Gallery are cleared, that windows and doors are closed wherever possible, and will report to the Fire Evacuation Controller.

(b) If the fire alarm is sounded during Group meetings:-

- please close all windows and proceed to the nearest exit (subject to that being free of fire or other obstruction) closing any doors behind you;
- check that no-one has been left in the room in which you are meeting;
- assemble on the south side car park (with Members' parking bays marked in red); and
- ask one of the Group to report to the Fire Evacuation Controller who will be at the main entrance doors, (without re-entering the building) that the room you evacuated was clear of people.

(c) If you are in another room when the fire alarm is sounded, for example your Group Room, the Members' Lounge or Dining Room:-

- please ensure that no-one else remains in the room;
- check (as far as reasonably practicable) that windows and adjoining doors are closed;
- close the door after leaving the room and leave the building by the nearest exit
- then, without re-entering the building, report to the Fire Evacuation Controller at the main entrance doors that the room which you evacuated was clear of other persons.

(NOTE: These notes and the plan overleaf seek to do no more than give outline guidance to Members on action they should take if the fire alarm is sounded while they are in the building. It is not possible to provide detailed guidance which will cover every eventuality. The core purposes of the guidance note are to assist with the safe evacuation of all persons in the building and to ensure that the officer acting as Fire Evacuation Controller is able to give the Fire and Rescue Service, when they attend any fire, the best achievable information about whether any persons may remain in the building and, if so, their likely location. Every report of a room being clear of persons assists substantially in this task. The Democratic Services Manager will be pleased to receive any comments about these guidance notes, particularly as to how they might be improved).